

# Rules of Racing

(as of January 1, 2011)

The Japan Racing Association

## **PREFACE**

These Rules are English translation of the Japanese “The Japan Racing Association Rules of Racing”, and the translations should be used solely as reference material to aid in the understanding of these Rules.

Only the original Japanese texts of the Rules are official and have effect and in event of any doubt as to the interpretation of these Rules, it is advised that reference be made to the original Japanese text.

These Rules of Racing are as of January 1, 2011.

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## CHAPTER 1 - GENERAL PROVISIONS

### **(Purpose)**

**Art. 1** The purpose of these Rules shall be to prescribe in regards to the JRA's operation of horse racing, the registration of owners, horses and racing colors, the licensing of trainers and jockeys and admission fees.

### **(Application of Rules)**

**Art. 2** Ignorance of these Rules related to horse racing held by the JRA cannot exempt a person from the application of these Rules.

### **(Racecourse)**

**Art. 3** The location of racecourses mentioned in Article 1 of the Enforcement Regulations of the Horse Racing Law (1954, Ordinance No.55 by Ministry of Agriculture, Forestry and Fisheries, hereinafter referred to as Regulations ) is listed in Separate Table (1).

## CHAPTER 2 - OWNER

### **(Registration of Owner)**

**Art. 4** The registration of owners who are individuals shall be conducted by describing the following mentioned items in the owner register.

- (1) Name and date of birth
- (2) Domicile
- (3) Registration number and registration date

**2.** The registration of owners who are corporations shall be conducted by describing the following mentioned items in the owner register.

- (1) Corporation name
- (2) Domicile
- (3) Name, date of birth and domicile of the representative (limited to an officer who shall represent the corporation in all matters concerned with horse racing as an owner. Hereinafter the same shall apply)
- (4) Registration number and registration date

**3.** The registration of owners who are non-juridical associations (hereinafter referred to as

Association) shall be conducted by describing the following mentioned items in the owner register.

- (1) Name of Association
- (2) Domicile of office
- (3) Name, date of birth and domicile of each member
- (4) Name of the representative (limited to a member who shall represent the Association in all matters concerned with horse racing as an owner. Hereinafter the same shall apply)
- (5) Registration number and registration date

**(Application for Registration)**

**Art. 5** In event an individual desires to undergo registration as an owner (hereinafter referred to as Owner Registration), he/she must submit to the President an application describing the items mentioned in Items 1 and 2, Paragraph 1 of the preceding Article accompanied by the following mentioned documents.

- (1) A copy of the family register and a copy of the resident card (in case the applicant is an alien, a copy of the original alien registration provided in the Alien Registration Law (1952, Law No. 125))
- (2) A document which describes the brief personal history of the applicant
- (3) A certificate that he/she is not registered as falling under an adult guardianship, or, a curatorship and an identification certificate issued by the head of the municipality of the permanent domicile (in case the applicant is an alien, a document affixed with his signature to the effect that he does not fall under Item 1 of Article 7)
- (4) A document which describes that the applicant does not fall under Items 2 and 3 of Article 7 and affixed with his signature.

**2.** In event a corporation desires to undergo Owner Registration, it must submit to the President an application describing the items mentioned in Items 1 to 3, Paragraph 2 of the preceding Article, accompanied by the following mentioned documents.

- (1) Articles of incorporation
- (2) A certified copy of the register book of the applicant and a document which describes the brief outline of the business of the applicant
- (3) A document certifying that the representative shall represent the corporation in all matters concerned with horse racing as an owner
- (4) A copy of the family register, and a copy of the resident card of the representative officer (in

case the officer is an alien, a copy of the original alien registration provided in Item 1 of the preceding Paragraph)

(5) A certificate that the officer concerned is not registered as falling under an adult guardianship, or, a curatorship and an identification certificate issued by the head of the municipality of the permanent domicile (in case the officer is an alien, a document affixed with his signature to the effect that he does not fall under Item 1 of Article 7)

(6) A document which describes that the officer does not fall under Items 2 and 3 of Article 7 and affixed with his signature

**3.** In event an Association desires to undergo Owner Registration, it must submit to the President an application describing the items mentioned in Items 1 to 4, Paragraph 3 of the preceding Article, accompanied by the following mentioned documents.

(1) A copy of the agreement to ensure that horses can run in a National Racing race, as involved in an association agreement provided in Article 667 of the Civil Code (1896, Law No. 89) and limited to an agreement which includes the items specified by the President.

(2) A document certifying that the representative shall represent the Association in all matters concerned with horse racing as an owner

(3) A copy of the family register, and a copy of the resident card of the representative member (in case the member is an alien, a copy of the original alien registration provided in Item 1 of Paragraph 1)

(4) A certificate that the member concerned is not registered as falling under an adult guardianship or a curatorship and an identification certificate issued by the head of the municipality of the permanent domicile (in case the member is an alien, a document affixed with his signature to the effect that he does not fall under Item 1 of Article 7)

(5) A document which describes that the member does not fall under Items 2 and 3 of Article 7 and affixed with his signature

**4.** In case of an application made under the provisions of the preceding 3 Paragraphs, the JRA, in event it is deemed necessary, may request submitting of certificates and documents other than the documents mentioned in each of the Items of the preceding three Paragraphs, or, request a person who desires to undergo Owner Registration (or, the officer in case of a corporation, or the member in case of an Association) to report in person

**5.** In case of an application made under the provisions of the preceding Paragraph 1, 2 or 3, the JRA, in event it is deemed necessary, may request submitting of a written opinion from the organization formed by owners in regards to National Racing regarding the registration of the applicant concerned.

**Art. 6** In case of an application for Owner Registration under the provisions of the preceding Article, the registration shall be conducted exclusive of in case of a refusal of registration under the provisions

of the following Article.

2. In event registration has been conducted under the provisions of the preceding Paragraph, the applicant shall be informed of this fact, without delay, and an Owner Registration Card shall be issued.

3. The JRA shall collect a registration fee of 10,000 yen at the time the Owner Registration Card in the preceding Paragraph is issued.

**(Refusal of Registration)**

**Art. 7** A person who desires to undergo Owner Registration, in event he/she falls under any one of the following Items, or, there has been a falsehood in the important items described in the application or the accompanying documents in Article 5, or, in case the descriptions of important facts are missing, this registration shall be refused.

- (1) A person who is under an adult guardianship, a curatorship, or, is bankrupt and who has not been rehabilitated.
- (2) A person who has been sentenced to a punishment of imprisonment without work or heavier
- (3) A person who has been punished with a fine in violation of the provisions of the Horse Racing Law (1948, Law No.158, hereinafter referred to as Law), the Japan Racing Association Law (1954, Law No. 205), the Bicycle Race Law (1948, Law No. 209), the Motorcycle Racing Law (1950, Law No. 208) or the Motorboat Racing Law (1951, Law No. 242)
- (4) A person who has been prohibited or suspended from participating in horse racing conducted by the JRA, the forty-seven prefectures and the designated municipalities (inclusive of a part of the associations organized by the forty-seven prefectures and the designated municipalities, as provided in the Local Government Law (1947, Law No. 67), Paragraph 1 of Article 284. Hereinafter the same shall apply) under the provisions of the Ordinance on Enforcement of the Horse Racing Law (1948, Ordinance No. 242. Hereinafter referred to as Ordinance), Item 4, Paragraph 1 of Article 14 (inclusive in case of application mutatis mutandis to Article 17-7 of the Ordinance. Hereinafter the same shall apply)
- (5) A person for whom there is a sufficient reason to deem that this person may commit, as a group, or, habitually, a violent and illegal act and other crimes mentioned in each of the Items of Article 1 of the enforcement regulations of the law on prevention of gangsters' injustice (1991, Item 4 of the National Public Safety Commission's Rules).
- (6) A member of the JRA Board of Governors
- (7) An officer, or, an official of the JRA
- (8) A trainer, jockey, training assistant, jockey candidate, or, groom

- (9) A person who has had his/her registration canceled under the provisions of Articles 10 or 11 by falling under any one of the provisions of Item 3 of Article 10 (limited to the portion concerned with Items 2, or, 3) or of Items 2 to 4 of Article 11, and 5 years have not elapsed from the date of cancellation
- (10) A person for whom it is deemed difficult to continuously entrust a racehorse with a trainer
- (11) A person who does not submit certificates or documents, or, does not report in person in case of Paragraph 4 of Article 5
- (12) A person who is not registered in the Basic Resident Register (in case the person is an alien, he or she is not registered in the original alien registration provided in the Alien Registration Law.
- (13) A person, in addition to those prescribed in each of the preceding Items, for whom there is a sufficient reason to deem that this person will endanger the integrity of horse racing
- (14) As for a corporation, a person, among the officers of a corporation (inclusive of regardless of under whatever the name, persons who have equal, or, more, official authority, or, control than an officer. Hereinafter the same shall apply) who falls under any one of the preceding Items (exclusive of Item 10)
- (15) In case of an Association, an Association which has not yet concluded any association agreement provided in Item 1, Paragraph 3 of Article 5
- (16) In case of an Association, an Association which involves in its members a corporation or a person who falls under any one of Items 1 to 13, above (exclusive of Item 10)

**(Owner Registration Screening Committee)**

**Art. 8** The Owner Registration Screening Committee shall be established within the JRA in order to screen and deliberate concerning screening of Owner Registrations at the request of the President.

**2.** In event there has been an application for Owner Registration, or, in regards to a person who has undergone Owner Registration, in event it is deemed necessary by the President, the opinion of the Owner Registration Screening Committee shall be heard in regards to the propriety of the registration.

**3.** The Owner Registration Screening Committee shall be composed of 15, or, less committee members appointed, or, commissioned by the President from among the officers and officials of the JRA, owners and persons of knowledge and experience.

**4.** The tenure of office of the committee members shall be 2 years. Provided, however, the committee members may be reelected.

**5.** In case of a vacancy of a committee member, in event a person is appointed, or, commissioned to

fill the vacancy, the tenure of office of the committee member shall be the remaining tenure of office of the predecessor.

6. The chairman of the Owner Registration Screening Committee shall be elected by mutual vote of the committee members.

**(Notification of Changes in Described Items, etc.)**

**Art. 9** In event there has been a change in the following mentioned items an owner shall notify the President to that effect, without delay, accompanied by the documents concerned with the altered items concerned from among the documents mentioned in each of the Items in Paragraph 1, each of the Items in Paragraph 2, or each of the Items in Paragraph 3 of Article 5.

- (1) The items mentioned in Items 1 or 2, Paragraph 1 of Article 4
- (2) The items mentioned in Items 1 to 3, Paragraph 2 of Article 4
- (3) The items mentioned in Items 1 to 4, Paragraph 3 of Article 4
- (4) The items described in the document mentioned in Item 1 or Item 2, Paragraph 2 of Article 5
- (5) The items described in the document mentioned in Item 1, Paragraph 3 of Article 5

2. In event an owner, an officer of a corporation which is an owner, or a member of an Association which is an owner, falls under any one of Items 1 to 3 or Item 12 of Article 7, the owner concerned (in case of a corporation or an Association, its representative), shall notify the President to that effect without delay.

**(Cancellation of Registration)**

**Art. 10** In event an owner falls under any one of the following Items, his/her registration shall be canceled.

- (1) In event death becomes clear (or, in event the dissolution becomes clear in case this person is a corporation or an Association).
- (2) In event an application has been made for deregistration.
- (3) In event he/she falls under any of the provisions from Items 1 to 4, Items 6 to 8, or Item 15 of Article 7.
- (4) As for a corporation, in event there is a person, from among the officers of a corporation who falls under any of the provisions from Items 1 to 4, or, Items 6 to 8 of Article 7.
- (5) As for an Association, in event an Association involves in its members a corporation or a person who falls under any of the provisions from Items 1 to 4, or, Items 6 to 8 of Article 7.

**Art. 11** In event an owner falls under any one of the following Items, his/her registration may be canceled.

- (1) In event it becomes clear that he/she is a person for whom there is a sufficient reason to deem that this person will commit, as a group, or, habitually, a violent and illegal act and other crimes mentioned in each of the Items of Article 1 of the enforcement regulations of the law on prevention of gangsters' injustice.
- (2) In event it becomes clear that he/she has undergone Owner Registration through a dishonest method.
- (3) In event he/she has forged, or, altered, or, has allowed another person to use the Owner Registration Card.
- (4) In event, in regards to a horse not owned by an owner (in case of an Association, a horse which is not included in its properties), the owner allows this horse to run under his/her name, or, undergoes nomination under the provisions of Article 76, or, undergoes racehorse registration (by the JRA as prescribed in Article 14 of the Law, hereinafter the same shall apply) under his/her own name.
- (5) In event the owner allows a horse, which has undergone racehorse registration, to run in a race of Regional Public Racing.
- (6) In event the owner is a person for whom it is deemed difficult to continuously entrust a racehorse with a trainer.
- (7) In event the owner has neglected the report in Article 9.
- (8) In event it becomes clear that the owner is not registered in the Basic Resident Register (in case the owner is an alien, in event it becomes clear that the owner is not registered in the original alien registration provided in the Alien Registration Law).
- (9) In event, in addition to what is prescribed in Items 3 to 5 of the preceding Article and each of the preceding Items, it becomes clear that the owner is a person for whom there is a sufficient reason to deem that he/she will endanger the integrity of horse racing.
- (10) In event that, without any justifiable reason, an owner has not owned a horse which has undergone racehorse registration (hereinafter referred to as Registered Horse in this Item) within one year after undergoing Owner Registration, or, one year, or, more have elapsed since an owner has ceased to own a Registered Horse, (in case of an Association, in event that, without any justifiable reason, the Association has not included a Registered Horse in its properties within one year after undergoing Owner Registration, or, one year, or, more have elapsed since the Association has ceased to include a Registered Horse in its properties).
- (11) As for a corporation, in event there is a person, from among the officers of the corporation, who falls under any of the provisions of Items 5, 9, 12, or 13 of Article 7.

(12) As for an Association, in event an Association has involved in its members a person who falls under any of the provisions of Items 5, 9, 12, or 13 of Article 7.

**(Deregistration)**

**Art. 12** In event a registration of an owner is canceled under the provisions of Article 10 or the preceding Article, this registration shall be deregistered.

**(Representative of Owner)**

**Art. 13** An owner can appoint limited to a trainer as his/her representative concerning horse racing.

2. In event an owner has appointed a trainer to be his/her own representative, he/she must submit under the provisions of the preceding Paragraph documents describing the following mentioned items, to the President through the racecourse general manager, or, the training center general manager.

(1) Name of the trainer and the seal the trainer shall use in event he/she exercises his/her rights of representation

(2) The duration and matters for which the right of representation has been given

**(Representative of Part Owners)**

**Art. 14** In regards to a horse (hereinafter referred to as Partnership Horse) which has undergone racehorse registration and is owned by 2, or, more owners, 1 person (hereinafter referred to as Representative Part Owner) shall be prescribed, from among the owners (hereinafter referred to as Part Owners) of the Partnership Horse concerned, to represent the horse concerned in all matters concerning horse racing.

2. The Representative Part Owner must submit to the President, through the racecourse general manager, or, the training center general manager, a partnership application jointly signed by the Part Owners describing that the Representative Part Owner has been prescribed.

3. The Representative Part Owner shall notify the President, through the racecourse general manager, or, the training center general manager, in event there has been a change in the items described in the partnership application of the preceding Paragraph.

**(Registration of Non-Japanese Resident)**

**Art. 14-2** In the case a person who has a domicile in areas outside Japan ( hereinafter referred to as Non-Japanese Resident ) and is licensed or registered as an owner in a foreign country, is making an application for registration as an individual owner, Owner Registration shall not be refused for falling under the Item 12 of Article 7.

2. A Non-Japanese Resident who desires to undergo Owner Registration must appoint a Liaison Officer ( A person entrusted to handle business related to an owner. Hereinafter the same shall apply.) as prescribed by the President

**Art. 14-3** In event a Non-Japanese Resident desires to undergo Owner Registration, he/she must submit to the President an application describing his/her name, date of birth and domicile accompanied by the following mentioned documents, notwithstanding the provisions of Paragraph 1 of Article 5.

- (1) A document equivalent to a copy of the family register and a copy of the resident card issued by the relevant government office, or a document that takes the place of such documents
- (2) A document which describes the brief personal history of the applicant
- (3) A certificate of the owner license issued by an authoritative horse racing organization of a foreign country
- (4) A certificate from the relevant government office certifying that the following items do not apply ( in the case the relevant government office does not issue such a certificate, a document pledging that he/she does not fall under the following items )
  - a. A person who is under an adult guardianship, a curatorship, or, is bankrupt and who has not been rehabilitated, or, is treated similarly according to the statutes of a foreign country
  - b. A person who has been sentenced to a punishment of imprisonment without work or heavier, or, a person who has been sentenced to an equivalent punishment according to the statutes of a foreign country, and 10 years have not elapsed since the execution of that punishment has ended
- (5) A document pledging that Item 3 of Article 7 does not apply
- (6) A document describing items related to his/her Liaison Officer

**2.** In case of an application made under the provisions of the preceding Paragraph, the JRA, in event it is deemed necessary, may request submitting of certificates and documents other than the documents mentioned in each of the Items of the preceding Paragraph, or, request a person who desires to undergo Owner Registration or his/her Liaison Officer to report in person.

**Art. 14-4** In case of an application for Owner Registration under the provisions of Paragraph 1 of the preceding Article, the registration shall be conducted exclusive of in case of a refusal of registration under the provisions of Article 7 or the following Article.

**2.** In event registration has been conducted under the provisions of the preceding Paragraph, the applicant shall be informed of this fact, without delay, and an Owner Registration Card shall be issued.

3. The JRA shall collect a registration fee of 10,000 yen at the time the Owner Registration Card in the preceding Paragraph is issued.

**Art. 14-5** A Non-Japanese Resident who desires to undergo Owner Registration, in event he/she falls under any one of the Items of Article 7 ( exclusive of Items 11 and 12 ) or any one of the following Items, or, there has been a falsehood in the important items described in the application or the accompanying documents in Paragraph 1 of Article 14-3, or, in case the descriptions of important facts are missing, this registration shall be refused.

- (1) A person who is not licensed or registered as an owner in a foreign country
- (2) A person who is treated similarly to be under an adult guardianship, a curatorship, or, be bankrupt and who has not been rehabilitated, according to the statutes of a foreign country
- (3) A person who has been sentenced to a punishment equivalent to imprisonment without work or heavier according to the statutes of a foreign country, and 10 years have not elapsed since the execution of that punishment has ended
- (4) A person who has had his/her registration canceled under the provisions of Item 2 of Article 14-7 ( limited to the portion connected with Item 3 of Article 14-5 ) by falling under the provisions of the preceding Item, and 5 years have not elapsed since the registration has been cancelled
- (5) A person who does not submit certificates or documents, or, fail to report in person in case of Paragraph 2 of Article 14-3

**Art. 14-6** In event there has been a change in name, domicile or items concerning the Liaison Officer, an owner who is a Non-Japanese Resident shall notify the President to that effect, without delay, accompanied by the documents concerned with the altered items concerned from among the documents mentioned in each of the Items in Paragraph 1 of Article 14-3, notwithstanding the provisions of Paragraph 1 of Article 9.

2. In event an owner who is a Non-Japanese Resident falls under any one of Items 1 to 3 of Article 7 or Items 1 to 3 of Article 14-5, the owner shall notify the President to that effect without delay, notwithstanding the provisions of Paragraph 2 of Article 9.

**Art. 14-7** In event an owner who is a Non-Japanese Resident falls under any one of the following Items in addition to those prescribed in each of the Items of Article 11 ( exclusive of Items 7 and 8 ), his/her registration may be canceled.

- (1) In event it becomes clear that the owner no longer has his/her license or registration concerning the certificate in Item 3 of Article 14-3.
- (2) In event the owner becomes a person mentioned in any one of the Items 2 or 3 of Article 14-5.

(3) In event the owner has neglected the report in the preceding Article.

(4) In event the Liaison Officer is absent due to an accident or other reasons.

**Art. 14-8** In event a registration of an owner who is a Non-Japanese Resident is canceled under the provisions of the preceding Article in addition to those prescribed in Article 12, this registration shall be deregistered.

**(Exceptional Provisions for Designated Exchange Races)**

**Art. 15** The Owner Registration conducted for the designated exchange races provided in Paragraph 1 of Article 56 of the Regulations (hereinafter referred to as National Racing Designated Exchange Races) shall be effective only for the National Racing Designated Exchange Races concerned.

2. If a person having undergone Owner Registration of the National Association of Racing (hereinafter referred to as NAR) desires to undergo Owner Registration of the JRA to enter a horse having undergone the NAR's racehorse registration (hereinafter referred to as Regional Racehorse Registration) for the National Racing Designated Exchange Races, he/she must submit an application form accompanied by the written certification of the NAR's racehorse registration as prescribed by the President, notwithstanding the provisions of Article 5.

3. The provisions of Paragraph 3 of Article 6 and Paragraph 2 of Article 8 shall not apply to the Owner Registration provided in the preceding Paragraph.

4. The provisions of Item 5 of Article 11 shall not apply to a person having undergone Owner Registration of the JRA and who have run the horses having undergone racehorse registration provided in Article 28 for races of Regional Public Racing.

5. The designated exchange races provided in Paragraph 4 of Article 56 of the Regulations (hereinafter referred to as Regional Public Racing Designated Exchange Races) shall not fall under the category of "a race of Regional Public Racing" stated in Item 5 of Article 11.

**(Exceptional Provisions for International Races)**

**Art. 16** The Owner Registration conducted for the international races provided in Paragraph 1 of Article 57 of the Regulations (hereinafter referred to as International Races) shall be effective only in case the owner runs a horse having run in horse races held overseas under his/her own name and which is a horse designated by the JRA (referred to as Designated Overseas-Trained Horse in Articles 29, 42, 63 and 92) in International Races.

2. If a person having obtained the owner's license or having undergone Owner Registration in a foreign country desires to undergo Owner Registration of the JRA to enter a horse having run in horse races held overseas under his/her own name for International Races, he/she must submit an application form accompanied by the documents deemed necessary by the President, including a certificate of the owner license issued by an authoritative horse racing organization of a foreign

country, as prescribed by the President, notwithstanding the provisions of Article 5.

3. The provision concerning a trainer stated in Item 8 of Article 7, the provisions of Item 12 of Article 7, Paragraph 2 of Article 8 and Item 8 of Article 11 shall not apply to the Owner Registration conducted for International Races provided in the preceding Paragraph.

### **CHAPTER 3 – RACEHORSE REGISTRATION**

#### **(Items Described in Racehorse Registry)**

**Art. 17** Racehorse registration shall be conducted describing the following mentioned items in the racehorse registry.

- (1) Horse name (this shall be written in Japanese syllabic characters)
- (2) Kind, sex, coat color, markings, date of birth, percentage of Arab blood of the horse concerned and kind and name of the sire and dam
- (3) The number from the microchip (limited to a type stipulated by the President. Hereinafter the same shall apply)
- (4) Birthplace of horse and name of breeder
- (5) Name of owner (or, the designation in case of a corporation or an Association. Hereinafter the same shall apply)
- (6) Registration number and date of registration

#### **(Application for Registration)**

**Art. 18** No person other than the owner of the horse concerned (including an Association which includes the horse concerned in its properties) can undergo racehorse registration.

2. An owner who desires to undergo racehorse registration must submit to the President, through the racecourse general manager, or, the training center general manager, an application form for each horse which is to undergo racehorse registration describing the items mentioned in Items 1 to 5 of the preceding Article and the date when the horse was acquired, accompanied by a document certifying pedigree of the horse (limited to a certificate issued by an organization designated by the President). Also, the owner must submit a document certifying that the horse is, in fact, the owner's property (in case of an Association, the horse is included in its properties), a document certifying conclusion of a training contract with a trainer and a registration fee of 5,000 yen.

3. The JRA, in event it is deemed necessary for racehorse registration, may request submitting of the following mentioned documents, or, can request the owner (the representative in case of a corporation or an Association) who desires to undergo racehorse registration to report in person.

- (1) A certificate of deregistration of Regional Racehorse Registration.
- (2) A test report (limited to a test report made by a test organ recognized by the President) for the purpose of confirming the pedigree of a horse
- (3) Any other documents deemed necessary by the President.

**(Inspection of Horse)**

**Art. 19** An owner, in regards to a horse which is to undergo racehorse registration, must undergo an inspection conducted by the JRA on the date, time and location designated by the JRA.

**(Registration)**

**Art. 20** In case of an application submitted under Article 18, a racehorse registration shall be conducted in event it is deemed that the matters described in the application form and accompanying documents are true and correct unless the registration is refused according to the following Article. In this case, the documents certifying the pedigree of the horse concerned shall be kept in custody at the JRA.

**(Refusal of Registration)**

**Art. 21** Registration will be refused in event the racehorse listed on the application form prescribed in Paragraph 2 of Article 18 falls under any of the following Items.

- (1) A horse which does not fall under the provisions of Article 82
- (2) A horse 1-year-old, or, less (exclusive of a 1-year-old horse which is to undergo registration after November 1)
- (3) A horse used for breeding purposes
- (4) A horse on which the tariffs as provided in Separate Table of the Customs Tariffs Law (1910, Law No. 54) are free
- (5) A horse in which no microchip has been implanted
- (6) A horse for which a training contract has not been concluded with a trainer
- (7) A horse which has contracted, or, diagnosed as a suspected case of an infectious disease
- (8) A horse unsuitable to be used in races due to blindness in one eye, or, other physical impediments of the horse body
- (9) A horse which has undergone Regional Racehorse Registration

(10) A horse which has once undergone Regional Racehorse Registration, or, a horse which has run in horse races held overseas and which cannot run in a race of National Racing according to standards separately prescribed in the program

2. Other than the case in the preceding Paragraph, a racehorse registration shall not be conducted for an owner who desires to undergo racehorse registration in event he/she does not submit the documents under the provisions of Paragraph 3 of Article 18, fails to report in person, or, refuses inspection under the provisions of Article 19.

**Art. 22** A horse name falling under any one of the following Items shall not be registered.

(1) A name which is the same, or, may be confused with the designation, or, name of a famous horse

(2) A name which is the same, or, may be confused with the designation, or, name of the sire, or, dam

(3) A name which is the same, or, may be confused with any of the names described below.

c. A name which has already undergone racehorse registration or Regional Racehorse Registration

d. A name of a horse whose racehorse registration or Regional Racehorse Registration was deregistered and with a lapse of less than 4 years after January 1 of the following year of the deregistration date

e. A name previous to its name change in regard to its racehorse registration or Regional Racehorse Registration and with a lapse of less than 1 year after January 1 of the following year of the name change date

(4) An odd or eccentric name

(5) A name which is deemed identical with a company, commercial product, etc., and clearly given for the purpose of advertisement and publicity for profit-making purposes and, at the same time, not suitable for a racehorse

(6) A horse name with one letter or 10 or more letters of the Japanese syllabic characters

(7) A name which is different from the name of a horse described in the original studbook with its pedigree registered by an organization designated by the President

**Art. 23** In case an owner who desires to undergo racehorse registration in regards to a horse which has once undergone Regional Racehorse Registration, cannot undergo the registration unless under the horse name described in the documents under the provisions of Item 1, Paragraph 3 of Article 18.

2. An owner who desires to undergo racehorse registration for a horse which has undergone horse name registration of an authoritative horse racing organization of a foreign country, cannot undergo racehorse registration unless the horse name described in the documents certifying the pedigree of the horse concerned issued by the horse racing organization concerned is indicated in Japanese syllabic characters conforming with the pronunciation of the country concerned.

**(Deregistration)**

**Art. 24** In event a registered horse falls under any of the following Items, the horse shall be deregistered.

- (1) In event that the horse has died
- (2) In event the horse has undergone Regional Racehorse Registration
- (3) In event that after the ownership of the horse has been transferred to a person other than the registered owner and that person fails to undergo Owner Registration after a lapse of 60 days of the date of transfer
- (4) In event the application for Owner Registration in the preceding Item has been refused
- (5) In event that an attempt was made to run a horse, or, the horse was run with the horse body in disguise
- (6) In event that notification under Article 27 was neglected, or, falsification of fact has been notified
- (7) In event that the horse was used for breeding, working, riding, farming and for any purpose other than horse racing

2. Other than the case in the preceding Paragraph, the racehorse registration shall be deregistered in event of an application for deregistration from an owner of a horse (inclusive of an Association which includes the horse in its properties. The same shall apply to the following Article and Article 55), or, in event it becomes clear that the items described in the application form provided in Paragraph 2 of Article 18, or, in the documents provided in each of the Items of Paragraph 3 of the same Article are not true and correct.

3. Documents certifying the pedigree of the horse kept in custody under the provisions of latter part of Article 20 shall be returned in event the horse is deregistered according to the preceding Paragraphs.

**(Effect of Deregistration and Re-registration)**

**Art. 25** No horse whose racehorse registration has been deregistered shall be re-registered. Provided, however, this shall not apply in regards to a horse that falls under any one of the following Items.

- (1) A horse whose racehorse registration has been deregistered as a 2-year-old on application from

the owner and which has not run in a race of National Racing

(2) A horse whose racehorse registration has been deregistered on application from the owner and which, after the deregistration concerned, has undergone Regional Racehorse Registration

(3) A horse which has undergone racehorse registration under the provisions of Article 28

2. In regards to a horse (exclusive of a horse which has not run in a race of National Racing and which has undergone Regional Racehorse Registration) as provided in each of the Items of the preceding Paragraph, in case the horse is to undergo racehorse registration, the horse cannot undergo the racehorse registration unless this is done under the previously registered horse name of the horse concerned. In this case, in regards to the racehorse registration of a horse which has undergone Regional Racehorse Registration, the provisions of Paragraph 1 of Article 23 shall not apply.

3. In regards to a horse as provided in each of the Items of Paragraph 1, in case racehorse registration is conducted under the previously registered horse name of the horse concerned, the provisions of Item 3 of Article 22 shall not apply.

#### **(Change of Horse Name)**

**Art. 26** The name of a horse which has undergone racehorse registration cannot be changed. Provided, however, the horse name can be changed limited to one time in regards to a horse which has not run in a race of National Racing, the Regional Public Racing and in foreign countries.

2. A person who desires to apply for change of horse name under the proviso of the preceding Paragraph, must submit to the President, through the racecourse general manager, or, the training center general manager, an application form describing the already registered horse name and the horse name to be registered accompanied by any other documents deemed necessary by the President and a registration change fee of 5,000 yen.

#### **(Notification of Change in Ownership)**

**Art. 27** In event an owner transfers, or, receives transfer of a horse which has undergone racehorse registration, he/she must submit, without delay, documents certifying the transfer to the President through the racecourse general manager, or, the training center general manager.

#### **(Exceptional Provisions for Designated Exchange Races)**

**Art. 28** The racehorse registration conducted for National Racing Designated Exchange Races shall be effective only for the National Racing Designated Exchange Races concerned.

2. If an owner who intends to enter a horse having undergone Regional Racehorse Registration for National Racing Designated Exchange Races desires to undergo racehorse registration of the JRA for the horse concerned, he/she must submit an application form accompanied by the written certification of the NAR's racehorse registration, as prescribed by the President, notwithstanding the provisions of Paragraph 2 of Article 18.

3. The provisions of the latter part of Article 20, the provisions of Item 9, Paragraph 1 of Article 21, the provisions of Article 22 and the provisions of Paragraph 1 of Article 25 shall not apply to the racehorse registration conducted for National Racing Designated Exchange Races provided in the preceding Paragraph.

4. The provisions of Item 2, Paragraph 1 of Article 24 shall not apply to a horse having undergone racehorse registration of the JRA, as well as Regional Racehorse Registration with the aim of running in Regional Public Racing Designated Exchange Races.

5. The provisions of Paragraphs 2 and 3 of Article 14 shall not apply to a Partnership Horse having undergone racehorse registration with the aim of running in National Racing Designated Exchange Races.

**(Exceptional Provisions for International Races)**

**Art. 29** The racehorse registration conducted for International Races shall be effective only for the International Races concerned.

2. If an owner of a Designated Overseas-Trained Horse desires to undergo racehorse registration of the JRA in regards to the horse concerned to enter the horse for International Races, he/she must submit an application form accompanied by the export certificate of the horse issued by an authoritative horse racing organization or the horse's passport, as prescribed by the President, notwithstanding the provisions of Article 18.

3. The provisions of Item 3 of Article 17, the provisions of Item 5, Paragraph 1 of Article 21, the provisions of Article 22 and the provisions of Paragraph 1 of Article 25 shall not apply to the racehorse registration conducted for International Races provided in the preceding Paragraph.

4. The provisions of Paragraphs 2 and 3 of Article 14 shall not apply to a Partnership Horse having undergone racehorse registration with the aim of running in International Races.

**CHAPTER 4 – REGISTRATION OF RACING COLORS**

**(Registration)**

**Art. 30** Registration of racing colors shall be conducted by describing the following mentioned items in the registry book of racing colors.

(1) Racing colors

(2) Name of owner

(3) Registration number and date of registration

**(Application for Registration)**

**Art. 31** An owner who desires to undergo registration of racing colors must submit to the President, an application form describing the racing colors and accompanied by a registration fee of 3,000 yen.

**2.** In event an application is made under the preceding paragraph, the racing color shall be registered unless the registration is refused according to the following paragraph.

**3.** Registration of the racing colors described in the application form shall be refused in event it is deemed that there is a violation of restrictions under the provisions from the following Article to Article 36.

**(Restriction on Racing Colors)**

**Art. 32** Only one kind of racing colors shall be registered for one owner.

**Art. 33** Registration of racing colors shall be conducted for body and sleeve as one set.

**Art. 34** A racing color other than the following 13 standard colors prescribed by the JRA shall not be used as racing colors; red, pink, yellow, green, blue, light blue, purple, lilac, brown, maroon, gray, black and white.

**Art. 35** No designs other than those in the following mentioned can be used as racing colors:

- (1) Band (horizontal stripe for body or sleeve) ..... 6cm. minimum width limit
- (2) Hoop (a single band on body and sleeve) ..... 6cm. minimum width limit
- (3) Belt (horizontal stripe below chest) ..... 9cm. minimum width limit
- (4) Chevron (band or belt of chevron in shape of half diamond or sawtooth)  
..... 6cm minimum width limit.
- (5) Sash ..... 9cm. minimum width limit
- (6) Vertical stripe ..... 4cm. minimum width limit
- (7) Tartan ..... 4cm. minimum width limit
- (8) Check ..... 6cm. minimum width limit
- (9) Diamond ..... 6cm. minimum horizontal diameter limit
- (10) Inverted triangle ..... 7cm. minimum height limit
- (11) Tartan spot ..... 9cm. minimum horizontal diameter limit

- (12) Spot ..... 4.5cm. minimum diameter limit
- (13) Star ..... 9cm. minimum diameter limit
- (14) Scattered ring, or, coin ..... 9cm. minimum diameter limit

**Art. 36** Two, or, more ground colors shall not be used for the body, or, sleeve, or, for the designs under each of the Items of the preceding Article.

**(Refusal of Registration)**

**Art. 37** A racing color which is the same, or, may be confused with racing colors already registered, shall not be registered.

2. Racing colors being the same as the color which was deregistered due to death of the owner shall not be registered until 60 days elapses after the date of deregistration. Provided, however, this does not apply in event the heir, or, successor to the deceased owner has applied for registration.

**(Use of Racing Colors)**

**Art. 38** An owner who has undergone registration of racing colors must use the registered racing colors in event he/she runs a horse in a race. Provided, however, in event Part Owners run a Partnership Horse, the racing colors registered by the Representative Part Owner must be used.

**Art. 39** In event an owner who has undergone registration of racing colors is unable to use the registered racing colors for a compelling reason, the owner can use the racing colors prescribed by the JRA.

2. An owner who has not undergone registration of racing colors must use the racing colors prescribed by the JRA.

3. In case the racing colors prescribed by the JRA under the provisions of the preceding 2 Paragraphs are used, a fee of 500 yen per horse per race shall be charged.

**(Deregistration)**

**Art. 40** Registration of racing colors shall be deregistered in event there has been an application for deregistration from an owner whose racing colors have been registered, or, in event the Owner Registration of the owner concerned has been deregistered.

**(Exceptional Provisions for Designated Exchange Races)**

**Art. 41** The provisions of Paragraph 3 of Article 39 shall not apply to the case where an owner intending to enter a horse having undergone Regional Racehorse Registration for National Racing Designated Exchange Races uses the racing colors prescribed by the JRA under the provisions of Paragraphs 1 and 2 of the same Article.

**(Exceptional Provisions for International Races)**

**Art. 42** The registration of racing colors conducted for International Races shall be effective only for International Races.

2. In case an owner ( exclusive of an owner registered under the provisions of Article 16 ) runs a Designated Overseas-Trained Horse in International Races and desires to undergo registration of racing colors of the JRA for his/her racing colors used in horse races held overseas, he/she must submit an application form accompanied by the registration fee of 3,000 yen, as prescribed by the President, notwithstanding the provisions of Article 31. In this case, the provisions of Article 32 shall not apply.

3. If a person having undergone Owner Registration under the provisions of Article 16 desires to undergo registration of racing colors of the JRA for his/her racing colors used in horse races held overseas with the aim of using the racing colors in International Races, he/she must submit an application form accompanied by a registration fee of 3,000 yen, as prescribed by the President, notwithstanding the provisions of Paragraph 1 of Article 31.

4. The provisions of Articles 34 to 37 shall not apply to the registration of racing colors conducted for International Races provided in the preceding 2 Paragraphs.

5. The provisions of Paragraph 3 of Article 39 shall not apply to the case where a person having undergone Owner Registration under the provisions of Article 16 uses the racing colors prescribed by the JRA under the provisions of Paragraphs 1 and 2 of Article 39.

**CHAPTER 5 - TRAINER, JOCKEY, ETC.**

**(Licensing of Trainer, or, Jockey)**

**Art. 43** The licensing of a trainer, or, a jockey shall be conducted for a person passing the license examination for a trainer under the provisions of the following Article and Article 45, or, the license examination for a jockey given for each category of flat race and steeplechase race under the provisions of the same Articles. In this case, a person who has passed the license examinations for both a trainer and a jockey, the person shall be licensed for only 1 that he/she desires between the 2.

**(License Examination for Trainer, or, Jockey)**

**Art. 44** The license examinations for a trainer, or, a jockey shall be conducted not exceeding 2 times each year. Provided, however, special examinations (hereinafter referred to as Special Examinations) may be conducted for those who have been licensed for training, or, riding horses in a foreign country.

2. In case of the preceding Paragraph, the license examinations for a jockey shall be conducted for each category of flat race and steeplechase race.

3. In event license examinations for a trainer, or, a jockey are to be conducted, the JRA shall publicly announce the place, date, time, application procedure and other details concerned with the

examinations in the official bulletin published by the JRA not later than 20 days before the date of the examination. Provided, however, in case of Special Examinations, the person concerned shall be notified as the occasion arises.

4. In regards to a license examination for a trainer, unless a person is 28-years-old, or, more, he/she cannot take the respective examinations and in regards to a license examination for a jockey, unless a person is 16-years-old, or, more, he/she cannot take the respective examinations. Provided, however, this shall not apply to a person under 28-years-old who has been licensed in a foreign country in regards to the training of horses and deemed as suitable by the President.

5. The license examinations for a trainer, or, a jockey shall be conducted in regards to the following mentioned items. Provided, however, a part of the examination items may be omitted with a person who has been, or, had been licensed as a trainer, or, a jockey in National Racing, or when a person has been licensed concerned with training, or, riding of horses in a foreign country, or, in regards to a person who desires to undergo jockey examinations for 2, or, more, kinds of races together in case jockey examinations are held together for 2, or, more, kinds of races.

(1) Health

(2) Academic ability

(3) Character

(4) Training, or, riding

**Art. 45** The business in regards to license examinations for a trainer, or, a jockey shall be conducted by the License Examination Committee.

2. The License Examination Committee of the preceding Paragraph, shall be composed of licensing examiners appointed by the President from among the officers or the officials of the JRA.

**(Ineligibility for Trainer, or, Jockey)**

**Art. 46** A person who falls under any one of the following Items cannot receive a license for a trainer, or, a jockey.

(1) A person who is under an adult guardianship, a curatorship, or, is bankrupt and who has not been rehabilitated

(2) A person who has been sentenced to a punishment of imprisonment without work or heavier

(3) A person who has been punished with a fine in violation of the provisions of the Law, the Japan Racing Association Law, the Bicycle Race Law, the Motorcycle Racing Law or the Motorboat Racing Law

- (4) A person who has been prohibited or suspended from participating in horse racing conducted by the JRA, the forty-seven prefectures, or, the designated municipalities under the provisions of Item 4, Paragraph 1 of Article 14 of the Ordinance
- (5) A person for whom there is a sufficient reason to deem that this person may commit, as a group, or, habitually, a violent and illegal act and other crimes mentioned in the Items of Article 1 of the enforcement regulations of the law on prevention of gangsters' injustice
- (6) A member of the JRA Board of Governors
- (7) An officer, or, an official of the JRA
- (8) An owner
- (9) A person who has had his/her license canceled under the provisions of Articles 52 or 53 by falling under Item 3 of Article 52 (limited to the portion concerned with Items 2 or 3), or, Items 2 or 3 of Article 53 and 5 years have not elapsed from the date of cancellation
- (10) A person, in addition to those prescribed in each of the preceding Items, for whom there is a sufficient reason to deem that this person will endanger ensuring the implementation of impartial and safe horse racing

**(Application Procedure for Examination)**

**Art. 47** A person who desires to take a license examination for a trainer, or, a jockey must submit, or, present to the JRA, as prescribed by the President, an application form accompanied by documents mentioned in each of the following Items. Provided, however, in case a person who has been licensed as a trainer, or, a jockey in National Racing desires to take a license examination for a trainer, or, a jockey, his/her application need not be accompanied by the documents mentioned in Item 4.

- (1) A certificate certifying the described items in the resident card (in case the applicant is an alien, a document certifying the described Items in the original alien registration provided in the Alien Registration Law or a passport. Hereinafter the same shall apply)
- (2) A document affixed with the signature describing that he/she does not fall under Item 1 of the preceding Article (in case the applicant is a person who has been licensed as a trainer, or, a jockey in National Racing (exclusive of an alien), a certificate that he/she is not registered as falling under an adult guardianship, or a curatorship and an identification certificate issued by the head of the municipality of the permanent domicile)
- (3) A document affixed with the signature describing that he/she does not fall under Items 2 or 3 of the preceding Article

- (4) Personal history
- (5) Photograph
- (6) Any other documents deemed necessary by the President

**(Submitting of Family Register, etc.)**

**Art. 48** A person who has passed the license examination for a trainer, or, jockey (exclusive of a person who has received a license as a trainer, or, as a jockey in National Racing and an alien), must submit to the President the following mentioned documents immediately after passing the examination.

- (1) Family register
- (2) A Certificate certifying that he/she is not registered as falling under an adult guardianship, or, a curatorship.
- (3) An identification certificate issued by the head of the municipality of the permanent domicile.

**(Issue of License Card)**

**Art. 49** A license card shall be issued to a licensed trainer or jockey.

2. The JRA shall charge a license fee of 3,000 yen upon issuance of the license card in the preceding Paragraph. Provided, however, in regards to a person who has passed Special Examinations and has been separately designated by the President, the license fee shall not be charged.

**(Validity of License)**

**Art. 50** A license shall be valid for one year from the day of licensing (in regards to the licensing of a person who has passed Special Examinations, a period deemed necessary by the President within a period of 3 months, or, less from the day of licensing).

**(Notification Including Alteration of Described Items in the License Card)**

**Art. 51** In event a trainer, or, a jockey has changed his/her permanent domicile, domicile, or, name, he/she must submit, or, present, to the JRA, as prescribed by the President, a written notification accompanied by the license card and the documents mentioned in each of the following Items.

- (1) In case of a change in permanent domicile, or, name, a certified excerpt copy of the family register (in case of an alien, a document certifying the described items in the original alien registration or a passport provided in Item 1 of Article 47)
- (2) In case of a change in domicile, a certificate certifying the described items in the resident card (in case of an alien, a document certifying the described items of the original alien registration provided in Item 1 of Article 47)

2. In event a trainer, or, a jockey falls under any one of Items 1 to 3 of Article 46, he/she shall notify the President to that effect without delay.

**(Cancellation of License)**

**Art. 52** In event a trainer, or, a jockey falls under any one of the following Items, his/her license shall be canceled.

- (1) In event of death
- (2) In event an application has been made for cancellation of license
- (3) In event he/she falls under any one of the provisions from Items 1 to 4, or, Items 6 to 8 of Article 46

**Art. 53** In event a trainer, or, a jockey falls under any one of the following Items, his/her license may be canceled.

- (1) In event it becomes clear that he/she is a person for whom there is a sufficient reason to deem that this person may commit, as a group, or, habitually, a violent and illegal act and other crimes mentioned in each of the Items of Article 1 of the enforcement regulations of the law on prevention of gangsters' injustice
- (2) In event it becomes clear that he/she has undergone licensing as a trainer, or, a jockey through a dishonest method
- (3) In event he/she has forged, or, altered, or, has allowed another person to use the trainer license card, or, the jockey license card
- (4) In event he/she trains, or, rides a horse in Regional Public Racing, or, in event he/she runs a horse in a Regional Public Racing race
- (5) In event he/she is no longer appropriate as a trainer, or, a jockey due to physical trouble
- (6) In addition to what is prescribed in Item 3 of the preceding Article and each of the preceding Items, in event it becomes clear that, as a trainer, or, a jockey is a person for whom there is a sufficient reason to deem that he/she will endanger ensuring the implementation of impartial and safe horse racing

**(Obligatory Duty)**

**Art. 54** In event a trainer runs a horse under his/her management, he/she must conduct his/her activities as a trainer at the racecourse concerned. Provided, however, this does not apply in event a trainer is unable to conduct his/her activities at the racecourse concerned due to sickness, or, other compelling reason and permission has been obtained from the Steward of the Meeting.

2. In case of the proviso of the preceding Paragraph, the trainer concerned shall entrust to another trainer, or, to a training assistant designated by the JRA and employed by the trainer concerned, the activities of the trainer to be conducted between the time the horse concerned is led into the saddling enclosure till the end of the race (in case the horse concerned falls under as provided in Paragraph 1 of Article 134, until the completion of signature as provided in Paragraph 6 of the same Article).

3. The trainer must immediately report to the Steward of the Meeting with a document attached describing the following Items, in event he/she, under the provisions of the preceding Paragraph, entrusts the activities of the trainer concerning the horse concerned to another trainer, or, entrusts the business concerned to a training assistant.

(1) The name of the horse concerned

(2) The reason for the inability to conduct his/her activities at the racecourse

(3) The name of the trainer who has been entrusted, or, the name of the entrusted training assistant and the seal to be used concerning the activities concerned

**(Duty to Report by Trainer)**

**Art. 55** In event a trainer concludes, or, terminates a training contract with the owner of a horse, the trainer must report this fact to the President, through the racecourse general manager, or, the training center general manager.

**(Training Assistant, etc.)**

**Art. 56** Each year, a trainer may employ a training assistant to assist in the training of horses upon obtaining the approval of the President.

2. In event a trainer who desires to receive the approval under the provisions of the preceding Paragraph, he/she must submit, as prescribed by the President, an application form to the President through the racecourse general manager, or, the training center general manager, accompanied by a certificate certifying the described items in the resident card, personal history and documents describing items of reference of the person the trainer is about to employ as a training assistant.

3. In event of receiving the approval under the provisions of Paragraph 1, the trainer must submit, without delay, to the President through the racecourse general manager, or, the training center general manager, a certified excerpt copy of the family register and documents deemed necessary by the President for the training assistant concerned. Provided, however, in regards to a person who was a training assistant in the preceding year, the certified excerpt copy of the family register need not be submitted.

**Art. 57** A trainer can employ a jockey candidate to assist in the training, or, the feeding and the care of horses upon receiving the approval of the President and also can have him/her acquire riding technique.

2. In event a trainer who desires to receive the approval under the provisions of the preceding Paragraph, he/she must submit, as prescribed by the President, an application form to the President through the racecourse general manager, or, the training center general manager, accompanied by a certificate certifying the described items in the resident card, personal history, a written consent from a person with parental authority and documents describing items of reference of the person the trainer is about to employ as a jockey candidate.

3. In event of receiving the approval under the provisions of Paragraph 1, the trainer must submit, without delay, to the President, through the racecourse general manager, or, the training center general manager, a certified excerpt copy of the family register and documents deemed necessary by the President for the jockey candidate concerned.

**Art. 58** A trainer can employ a groom to assist in the feeding and the care of horses in addition to as provided in the preceding 2 Articles.

2. In event a trainer desires to employ a groom under the provisions of the preceding Paragraph, he/she must receive the approval of the racecourse general manager, or, the training center general manager by reporting the name and date of birth.

3. In event of receiving the approval under the provisions of the preceding Paragraph, the trainer must submit, without delay, to the racecourse general manager, or, the training center general manager concerned, a certified excerpt copy of the family register, a copy of the resident card and documents deemed necessary by the racecourse general manager, or, the training center general manager of the groom concerned. Provided, however, a certified excerpt copy of the family register need not be submitted in event the groom concerned was once employed by another trainer possessing a license of the JRA.

**(Prohibition of Side-Trade by Jockey)**

**Art. 59** A jockey must not, under whatever name, accept a horse from an owner for the purpose of training.

**(Exceptional Provisions for National Racing Designated Exchange Races)**

**Art. 60** If a trainer licensed by the NAR desires to obtain a trainer's license of the JRA with the aim of running in National Racing Designated Exchange Races and if a jockey licensed by the NAR desires to obtain a jockey's license of the JRA with the aim of running in National Racing Designated Exchange Races, the trainer and the jockey must apply for the respective licenses as prescribed by the President.

2. If an application stated in the preceding Paragraph is submitted, the trainer or jockey licensed by the NAR concerned shall be exempted from the license examination and will be licensed by the JRA, notwithstanding the provisions of Article 43.

3. The trainer's and jockey's licenses provided in the preceding Paragraph shall be effective only for

the National Racing Designated Exchange Races concerned, notwithstanding the provisions of Article 50.

4. The provisions of Item 4 of Article 53 shall not apply to the trainers and jockeys licensed under the provisions of Paragraph 2.

5. Regional Public Racing Designated Exchange Races shall not fall under the category of “a race of Regional Public Racing” stated in Item 4 of Article 53.

**Art. 61** The license fees shall not be charged for the trainer’s and jockey’s licenses provided in Paragraph 2 of the preceding Article, notwithstanding the provisions of Paragraph 2 of Article 49.

**(Exceptional Provisions for Training Assistant, etc.)**

**Art. 62** If a trainer licensed under the provisions of Article 60 desires to employ an assistant for training or feeding a horse having undergone Regional Racehorse Registration with the aim of running in National Racing Designated Exchange Races, the trainer must submit an application to obtain the President’s approval, as prescribed by the President, notwithstanding the provisions of Paragraphs 1 and 2 of Article 56 or Paragraphs 1 and 2 of Article 58.

2. The provisions of Paragraph 3 of Article 56 or Paragraph 3 of Article 58 shall not apply to a trainer who employs a training assistant or a groom after obtaining the approval under the provisions of the preceding Paragraph.

**(Exceptional Provisions for International Races)**

**Art. 63** As for the application of the provisions of Paragraphs 1 and 2 of Article 56 or the application of the provisions of Paragraphs 1 and 2 of Article 58 to the case where the trainer of a Designated Overseas-Trained Horse desires to employ an assistant for training or feeding the horse concerned with the aim of running the horse in International Races, “Each year, a trainer may employ a training assistant to assist in the training of horses upon obtaining the approval of the President.” in Paragraph 1 of Article 56 shall be changed to “A trainer may employ a training assistant to assist in the training of horses upon obtaining the approval of the President.”, “a certificate certifying the described items in the resident card, personal history and documents describing Items of reference” in Paragraph 2 of the same Article to “the copies of the passport and visa”, “to the President of the JRA, through the racecourse general manager, or, the training center general manager” in the same Paragraph of the same Article to “to the President of the JRA”, and “receive the approval of the racecourse general manager, or, the training center general manager by reporting the name, date of birth,” in Paragraph 2 of Article 58 to “submit, as prescribed by the President, an application form accompanied by copies of the passport and visa,”, respectively.

2. The provisions of Paragraph 3 of Article 56 or Paragraph 3 of Article 58 shall not apply to a trainer who employs a training assistant or a groom after obtaining the approval under the provisions of the preceding Paragraph.

## CHAPTER 6 – PROGRAMS, ETC.

### **(Track Used in Flat Race)**

**Art. 64** A flat race shall be conducted on a track which is 1,600 meters, or, more in length and 20 meters, or, more in width. Provided, however, in case the President deems especially necessary, a race may be held on a track other than the track in this Paragraph.

2. The length of the track in the text of the preceding Paragraph shall be measured along the line 1 meter outward from the inside rail and the width is the distance measured from the inside rail to the outside rail.

### **(Obstacles)**

**Art. 65** The obstacles used for a steeplechase race shall consist of fixed and movable obstacles.

2. Items connected with the height of the obstacle, the width of the water ditch and other obstacles shall be prescribed in the program of the following Article.

### **(Program)**

**Art. 66** The following mentioned items shall be prescribed in the program.

- (1) Name of racecourse conducting the horse racing concerned
- (2) Meeting day
- (3) The number, kind, name, distance, race qualification, weight, safety factor, amount of prize money, and post time for each race of each meeting day
- (4) Items concerning nomination (registration for races prescribed in Paragraph 1 of Article 18 of the Law (hereinafter referred to as Stakes Race), hereinafter the same shall apply) and other items necessary to the conduct of horse racing

2. The program shall be publicly announced in the official bulletin published by the JRA not later than 20 days before the first day of the horse racing concerned. Provided, however, the qualifications to race and the weight in a program can be publicly announced not later than 5 days from the date the race concerned is to be held.

### **(Change of Described Items in the Program)**

**Art. 67** In event of an act of providence, or, other compelling reason, a change can be made in the items mentioned in Items 2 to 4, Paragraph 1 of the preceding Article.

### **(Number of Races, etc.)**

**Art. 68** The number of races shall not exceed 12 a day and they shall be conducted between sunrise and sunset.

**(Kind of Races)**

**Art. 69** The kind of races are; thoroughbred flat races and thoroughbred steeplechase races.

**(Distance of Race)**

**Art. 70** The distance in flat races shall be 800 meters, or, more for 2-year-old horses and 1,000 meters, or, more for horses 3-year-olds & up.

2. The distance in steeplechase races shall be 2,000 meters, or, more.

**(Weight)**

**Art. 71** Weights shall be the following mentioned 3 kinds.

(1) Weight for age

(2) Weight prescribed by handicap

(3) Weight calculated by age, sex, amount of earnings, number of wins and other conditions as prescribed in the program

**(Weight for Age)**

**Art. 72** The weight for age as provided in Item 1 of the preceding Article (hereinafter referred to as Weight for Age in this Article) shall be as prescribed in the following Table. Provided, however, in case meeting days continue for 2 days, or, more (exclusive of meeting days after alternation in case the date of the meeting days have been altered due to an act of providence, or, other compelling reason), in regards to a horse for which the Weight for Age is to be altered as prescribed in the same Table on the first day and other days of these meeting days, the weight according to the same Table as of the first day concerned shall be regarded as the Weight for Age of that horse on these meeting days.

Kind of Race	Age Sex	2-y-o		3-y-o		
		Up September	to October	From to October to December	Up September	to October to December
Flat	Colt & Gelding	54kgs.		55kgs.	56kgs.	57kgs.
	Filly	54kgs.			55kgs.	

**(Weight for Southern Hemisphere Horses)**

**Art. 73** In regards to in case a horse, born in the Southern Hemisphere in between the period from July 1 to December 31, starts in a flat race (exclusive of a race for which the weight is prescribed by handicap), the weight of the horse shall be the weight after the weight prescribed in the program has been deducted from the weight prescribed under the provisions of Article 71.

**(Weight Allowance for Jockey)**

**Art. 74** In case an apprentice jockey (who, from among persons possessing a jockey license by

passing the license examination provided in the main text of Paragraph 1 of Article 44, has 100, or, less wins and rides in a total period of less than 3 years with a jockey license from the JRA, the NAR, or, a foreign horse racing authority) rides in a race which is neither a Stakes Race, or, a race prescribing weights according to handicaps, the weight prescribed under the provisions of Article 71 (inclusive of those prescribed under the provisions of the preceding Article) shall be decreased by the weight prescribed in the program.

2. The number of wins in case of the preceding Paragraph is the number of 1st places earned by the jockey concerned, riding in the races mentioned in the following Items, from the day of his first ride till the day before the day of the deadline for declaration to start for the race concerned.

(1) Races of National Racing

(2) Regional Public Racing Designated Exchange Races and races in regards to foreign horse racing, designated by the President

**(Minimum Weight in Steeplechase Race)**

**Art. 75** The minimum weight in a steeplechase race shall be 56 kilograms for 3-year-old horses and 57 kilograms for horses 4-year-olds & up.

**(Nominations)**

**Art. 76** A person who desires to run a horse owned by him/her in a Stakes Race (in case the owner is an Association, a horse which is included in its properties), he/she must undergo nomination by submitting an application form describing the following mentioned items accompanied by a nomination fee.

(1) The name, sex and age of the horse

(2) The kind and names of the sire and dam of the horse concerned

(3) The name of the horse owner, or, the unregistered owner (in case the owner is a part owner, the name of the Representative Part Owner, in case the unregistered owner is a corporation, the name of the corporation)

2. The place and deadline of the application for nomination, the conditions of the nomination and the amount of nomination fee shall be prescribed in the program.

3. The nomination fee may be collected in installments. In this case, the amount to be paid in installments and the payment deadline shall be prescribed in the program.

**Art. 77** The nomination fee already collected under the provisions of the preceding Article shall not be refunded. Provided, however, this shall not apply in case this falls under any one of the following Items.

- (1) In case the Stakes Race is not held
- (2) In case the horse has been excluded as a horse which can run in a Stakes Race under the provisions of Paragraph 1 of Article 86
- (3) In case the Stakes Race becomes invalid under the provisions of Article 122
- (4) As prescribed in the program, a horse which has been disqualified under the provisions of Paragraph 2 of Article 128, a horse whose order of finish has been changed under the provisions of Paragraphs 1 or 2 of Article 130, or, a horse whose disqualification ruling, or, whose ruling has been canceled under the provisions of Paragraph 3 of Article 151, or, Paragraph 3 of Article 153 who can no longer run in that Stakes Race

**Art. 78** An application for nomination shall be refused in event an item described in the application under the provisions of Article 76 is deemed not true and correct and an entry shall be canceled in event an item described in the application has been found to be not true and correct after the entry has been made.

**2.** In event the entry has been canceled under the provisions of the preceding Paragraph, the nomination shall lose its validity from the date of cancellation.

**Art. 79** A person who acquires the ownership of a horse which has undergone nomination, can succeed to the rights and liabilities concerning the nomination concerned.

**(Examination of Horse Body and Training Condition)**

**Art. 80** A horse which has not run in a race of National Racing (inclusive of from among the horses as provided in Item 2 or 3, Paragraph 1 of Article 25, and which have undergone re-registration of horse name and which have not run since the re-registration concerned. Hereinafter referred to as Re-registered Horse. This is the same for Article 91), shall undergo an examination of the horse body and the training condition by a person designated by the President and the horse cannot run in a race without having passed this examination.

**2.** The horse designated by the Steward of the Meeting shall undergo an examination of the horse body and the training condition by a person designated by the President and the horse cannot run in a race without having passed this examination.

**CHAPTER 7 - HORSE TO RUN**

**(Running in Race)**

**Art. 81** A horse must not be run in a race without the will to win.

**Art. 82** Horses which are eligible to race in Thoroughbred races shall be light breed colts, fillies and geldings.

**Art. 83** A 2-year-old, or, an older horse is eligible to race in a flat race. Provided, however, no 2-year-old horse is eligible to race unless 2 years have elapsed since the date of birth.

2. A 3-year-old, or, an older horse is eligible to race in a steeplechase race. Provided, however, no 3-year-old horse is eligible unless 3 years have elapsed since the date of birth.

3. The age of a horse shall be calculated from January 1 of the year that horse was born.

**(Declaration to Start)**

**Art. 84** In event an owner who desires to run a horse must make a declaration to start.

2. In making a declaration to start, the owner concerned must declare the horse in regards to the race concerned by describing in a declaration application by the deadline prescribed in the program, the name of the horse, the name of the jockey and the weight.

3. In regards to races with identical deadlines as prescribed in the program of the preceding Paragraph, an owner shall not declare, in regards to the same horse, for 2, or, more races.

4. In event declaration has been made for 2, or, more races in regards to the same horse, as prescribed in the program, the declaration for one of the races shall be regarded as valid.

**Art. 85** A declaration to start cannot be canceled unless it is before the deadline in Paragraph 2 of the preceding Article. Provided, however, after the deadline, this shall not apply in the case there is a reason which falls under any one of the following mentioned Items.

- (1) In case permission has been given by the Steward of the Meeting upon submitting of documents certifying an accident, or, disease of the jockey
- (2) In case permission has been given by the Steward of the Meeting upon submitting of documents certifying an accident, or, disease of the horse

2. The documents certifying the disease in each of the preceding Paragraphs shall be a medical certificate of diagnosis issued by a medical doctor, or, a veterinarian designated by the Executive Steward.

**Art. 86** In case the number of horses declared for a race exceeds the safety factor for the race concerned, the Clerk of the Course shall prescribe the same number of horses as the safety factor which can start in the race concerned by drawing lots, or, by other methods as prescribed in the program.

2. In case the owner of a horse excluded from those horses which can start in the preceding Paragraph requests that he/she desires to start the horse concerned in other races with identical deadlines as prescribed in the method in the program, notwithstanding the provisions of Article 84, it may be

deemed that he/she desired to start the horse concerned in those other races.

3. The provisions of Paragraphs 2 to 4 of Article 84 shall be applied mutatis mutandis to the request in the preceding Paragraph.

**(Confirmation of Horse to Run)**

**Art. 87** The Clerk of the Course shall prescribe the horse number by drawing lots in regards to the horse which have been declared to start (in case of Paragraph 1 of the preceding Article, the horses prescribed as horses declared to start under the provisions of the same Paragraph of the same Article).

2. The Clerk of the Course shall officially announce without delay the names of the horses, the horse numbers, the weights and the names of the jockeys with regards to the horses declared to start (in case of Paragraph 1 of the preceding Article, the horses prescribed as horses declared to start under the provisions of the same Paragraph of the same Article).

3. The horses declared to start in a race shall be considered confirmed according to the official announcement in the preceding Paragraph.

**Art. 88** In event a change of a jockey is to be made after the deadline under Paragraph 2 of Article 84 (inclusive of in case applied mutatis mutandis under Paragraph 3 of Article 86), a document describing the reason for the change shall be submitted and it must receive the permission of the Steward of the Meeting.

2. In event the reason for the change in the preceding Paragraph is a disease, this must be accompanied by a medical certificate by a medical doctor designated by the Executive Steward.

**(Control of Race)**

**Art. 89** A horse suffering from an infectious disease of domestic animals, a horse diagnosed as a suspected case, or, a horse whose movement has been prohibited under the Law for Prevention of Infectious Diseases of Domestic Animals (1951, Law No. 166) cannot run in a race.

**Art. 90** A horse cannot run in a race concerned unless it has been trained by a trainer

**(Obligation to be stabled)**

**Art. 91** A horse which has run in a race of National Racing (exclusive of a Re-registered Horse) and a horse which has not run in a race of National Racing desiring to race cannot run in a race unless the horse has been continuously stabled in the stables managed by the JRA (inclusive of facilities designated by the President) for 10 days and 15days respectively, prior to the day of the race concerned.

**(Exceptional Provisions for Designated Exchange Races and International Races)**

**Art. 92** The provisions of the preceding 2 Articles shall not apply to a horse having undergone Regional Racehorse Registration to run in National Racing Designated Exchange Races and to a Designated Overseas-Trained Horse to run in International Races.

**Art. 93** A horse cannot run in a race of National Racing again unless 5 days have elapsed calculated from the day the horse has run in a race of National Racing (in horses which have run in a Regional Public Racing Designated Exchange Race, the day it ran in the race concerned).

2. In case of running a horse which has undergone Regional Racehorse Registration in a National Racing Designated Exchange Race, it cannot run in the race concerned unless 5 days have elapsed calculated from the day the horse has run in a race of Regional Public Racing just prior to the day the race concerned is to be conducted.

**(Equipments, etc.)**

**Art. 94** In case of using blinkers in regards to horses starting in steeplechase races, the permission of the Steward of the Meeting shall be obtained in advance.

**Art. 95** A jockey must not ride in a race using spurs. Provided, however, this shall not apply in the event the Steward of the Meeting deems there is a compelling reason.

2. Even in case of the proviso of the preceding Paragraph, the jockey must not ride in a race using spurs with sharpened protrusion, or, bent inward.

3. A jockey must not ride in a race using a whip 77 centimeters, or, more, in length.

4. A jockey must not ride in a race without wearing a safety helmet prescribed by the JRA.

5. A jockey must not ride in a race without wearing a safety vest prescribed by the JRA.

**Art. 96** A horse cannot run in a race wearing shoes with calks, or, other devices which may constitute a potential danger to other horses.

**(Order for Change of Jockey)**

**Art. 97** The Steward of the Meeting can order a change of jockey in case of the following mentioned.

(1) In event it is deemed dangerous for the jockey to ride in a race

(2) In event it is deemed that the jockey may be detrimental to the integrity of the race

**(Weighing Out)**

**Art. 98** In weighing out, the total weight of the jockey, outfit of the jockey concerned (exclusive of whip and safety helmet. The same shall apply in Paragraph 3 of the following Article) and the saddle (inclusive of its accessories, saddle blanket, saddle cloth (exclusive of number cloth)) must be weighed.

**Art. 99** A jockey who is to ride in a race must undergo weighing out at the weighing room by 70 to 50 minutes before post time in regards to the gross weight of the weight officially announced under the

provisions of Paragraph 2 of Article 87 plus 1.0 kilogram which is equivalent to a standard weight of a safety vest (referred to as Gross Weight in Paragraph 1 of the following Article). Provided, however, the jockey can undergo weighing out at a time other than the prescribed time in event of a jockey who is to ride in 2, or, more consecutive races, or, in event he is to newly ride due to a change of jockey, or, in event of any other case the Clerk of the Scale deems there is a compelling reason.

2. The Clerk of the Scale must immediately announce the net weight of the weight measured under the provisions of the preceding Paragraph minus 1.0 kilogram which is equivalent to a standard weight of a safety vest. In this case, in event of a fraction less than 0.5 kilogram this shall be discarded and in event of a fraction which exceeds 0.5 kilogram and is less than 1 kilogram this shall be announced as 0.5 kilogram.

3. In event of weighing out as mentioned in Paragraph 1, the total weight of the jockey and the outfit of the jockey concerned (hereinafter referred to as Jockey Weight) must be weighed in addition to the items prescribed the same Paragraph.

4. In case there is a change of jockey to ride in a race, in event the Clerk of the Scale gives permission, the new jockey may ride in the race concerned, notwithstanding the provisions of the preceding Article, by weighing and matching his/her Jockey Weight to the Jockey Weight of which the original jockey weighed for weighing out of the race concerned. In this case, the new jockey shall be deemed by weighing his/her Jockey Weight that he/she has weighed out with the weight of which the original jockey weighed for weighing out.

**Art. 100** A jockey must ride in a race carrying the Gross Weight. Provided, however, this shall not apply in case the weight weighed under the provisions of Paragraph 1 of the preceding Article exceeds the Gross Weight and the exceeding weight is within the 2 kilograms range and, further, the Steward of the Meeting deems there is a compelling reason.

2. In case of the proviso of the preceding Paragraph, the jockey must ride in a race carrying the weight measured under the provisions of Paragraph 1 of the preceding Article.

**(Saddling Enclosure)**

**Art. 101** A trainer must bring into the saddling enclosure the horse he/she is about to run in a race not later than 70 minutes (90 minutes in case of a race designated in the program) before post time of the race concerned.

2. Before saddling a horse brought into the saddling enclosure by a trainer under to the provisions of the preceding Paragraph, the saddle to be put on such horse must be weighed (hereinafter referred to as Weighing of Saddle) in order to ensure that the weight of such saddle is equal to the weight at which the jockey who is about to ride such horse is weighed out for the race, less the jockey's weight measured at the time of such weighing out. In this case, the weighing of saddle shall be conducted in the weighing room when the jockey is weighed out under Article 98 if the saddling is conducted before bringing the horse into the saddling enclosure upon the permission of the Veterinary Officer in the presence of a person designated by the Veterinary Officer.

**Art. 102** A Veterinary Officer shall prescribe the items necessary for the management of the horses in the saddling enclosure.

**(Paddock)**

**Art. 103** A trainer must bring his/her horse from the saddling enclosure to the paddock following the orders by the Clerk of the Course.

**Art. 104** A jockey, in regards to the race concerned, must appear in the paddock at the time prescribed by the Clerk of the Course. Provided, however, this does not apply in event the Clerk of the Course deems there is a compelling reason.

2. Promptly after the gathering mentioned in the preceding Paragraph, jockeys shall undergo weighing to verify their jockey's weight. Provided, however, that if a jockey is to ride in two or more consecutive races, or the Clerk of the Scale deems that there is any other compelling reason, such jockey may undergo weighing in the weighing room before riding in such races.

3. A jockey shall not adjust the weight of a saddle after the saddle has been weighed. Provided, however, in event the Clerk of the Scale deems, as a result of weighing the Jockey Weight prescribed in the preceding Paragraph, there is a compelling reason to adjust the weight of the saddle concerned so that the jockey concerned will ride with the weight he/she weighed out, the jockey may adjust the weight of the saddle.

4. A jockey must ride his/her horse and appear on the track at a walking gait following the orders by the Clerk of the Course.

**(Exclusion from Race)**

**Art. 105** The Steward of the Meeting can exclude the horse concerned from a race in case the horse falls under any one of the following Items.

(1) In case a trainer has violated the provisions of Articles 133 or 101, or, when the trainer, jockey, training assistant, jockey candidate, or, groom did not obey the orders, or, instructions given by a Veterinary Officer under the provisions of Paragraph 6 of Article 132, or, the items prescribed by the Veterinary Officer under the provisions of Article 102.

(2) In case it is deemed a jockey is unable to ride due to an accident, or, disease and in case it is impossible to change a jockey

(3) In case a horse is deemed unsuitable to run due to an accident, or, disease

(4) Other than those mentioned in the preceding 3 Items, in case it is necessary to uphold the integrity of races

2. The Starter can exclude the horse concerned from a race in case the horse falls under any one of the

following Items.

- (1) In case a horse cannot start due to an accident, or, disease of the horse
- (2) In case it is deemed that a start will be delayed due to wild behavior and other marked unruly habit, or, that it will endanger other horses
- (3) Other than those mentioned in the preceding 2 Items, in case it is deemed necessary to assure a fair start

**3.** In event a horse has been excluded from a race under the provisions of the preceding Paragraph, the Starter shall immediately notify the Steward of the Meeting to that effect.

## **CHAPTER 8 – START, ORDER OF ARRIVAL, ORDER OF FINISH, ETC.**

**(Start)**

**Art. 106** A jockey, after entering the track, must walk his horse at a walking gait and pass in front of the steward's stand.

**2.** Unless under permission of the Starter, a person must not lead a horse by the bridle after entering the track.

**3.** In event permission is not given by a Starter, a jockey who has entered the track cannot dismount.

**4.** In event a signal to assemble has been given by a Starter, the jockey shall promptly assemble his/her horse at the starting point.

**5.** The jockey, by obeying the instructions of the Starter, must enter his/her horse in the starting gate stall as prescribed in advance.

**Art. 107** A horse must be started from a standstill.

**Art. 108** In regards to a horse a Starter deems that there is a danger that it can cause injury, or, disadvantage to another horse, the Starter can have a person hold the horse at a standstill, or, start the horse from an outer post position.

**2.** In case a Starter deems that the starting stall can no longer be used because of damaged front doors as a result of a lunging horse and for other reasons, the horse that was to be started from this starting stall can be started from an outer post position.

**Art. 109** The starting signal shall be conducted by a starting gate.

**2.** In case a Starter deems that a starting signal was not authentic, the Starter shall indicate this by

waving a red flag horizontally and, in event this indication is made, the assistant of the Starter stationed forward of the starting line shall indicate that the starting signal was not authentic by waving a white flag horizontally.

**Art. 110** In case a jockey falls under any one of the following Items, the Starter must notify the Steward of the Meeting, without delay, the name of the jockey and the item he/she falls under.

- (1) In case a jockey, before the starting signal is given, attempts to gain a starting advantage by dashing, or, commits other similar acts, or, causes delay in starting
- (2) In case a jockey makes a slow start
- (3) In case a jockey fails to start a horse even after the starting signal has been given

**(Race)**

**Art. 111** In a race, a jockey must run his/her horse on its own merits.

**Art. 112** A jockey must not make his/her horse enter the course of a following horse unless there is a margin of 2 lengths, or, more from the end of the buttocks of his/her horse to the nose tip of the following horse.

**2.** A jockey must not cross, or, weave during a race without justifiable reason.

**3.** In the homestretch, a jockey, once he/she has chosen his/her prescribed running course must not change his/her direction up to the finish line without justifiable reason.

**4.** During a race, a jockey must not push against, or, jostle another horse, or, jump obstacles diagonally.

**5.** During a race, a jockey must not force his/her way into the inside of other horses, or, pass any horse on its inside when there is not sufficient space.

**Art. 113** During a race, a jockey must not make a loud voice without justifiable reason, or, use his/her whip wrongfully.

**Art. 114** In case a horse runs away from the track, its jockey must ride his/her horse back to the point where it left the track in order to continue the race.

**2.** In case of a fall, a jockey must return to the point of the fall and remount, or, ride his/her horse back to the point of the fall in order to continue the race.

**Art. 115** During a race, in event a jockey deems that there has been a marked change in the race performance of the horse, the jockey must report this fact to the Steward of the Meeting immediately after the finish of the race.

**(Order of Arrival)**

**Art. 116** A Placing Judge shall judge the order of arrival by the order of arrival at the finish line of the nose tip of each horse.

2. A Placing Judge shall use photographs taken by a camera prescribed by the JRA as reference in judging the order of arrival in the preceding Paragraph. Provided, however, this does not apply in event the order of arrival can be judged without difficulty and the Placing Judge deems that it is not necessary to use photographs as reference.

**Art. 117** A Placing Judge must judge the order of arrival and, at the same time, also must confirm the difference of arrival.

2. The difference of arrival shall be the distance from the nose tip of the horse arriving ahead at the finish line to the nose tip of the next horse.

**Art. 118** A Placing Judge must immediately officially announce the order of arrival of the 1st to the 5th place horses, the difference of arrival and the time required for the race by the horse arriving first at the finish line.

2. A correction can be made in event a mistake is found in the order of arrival officially announced under the provisions of the preceding Paragraph before the order of finish is confirmed under the provisions of Paragraph 3 of Article 125.

**Art. 119** In case 2, or, more horses arrive at the finish line simultaneously, the order of arrival shall be the same order.

**(Weighing In)**

**Art. 120** The jockeys of the horses up to the 7th order of arrival and also the jockey of a horse especially designated by the Steward of the Meeting, must undergo weighing in immediately after the race concerned is finished.

2. A jockey who must undergo weighing in under the provisions of the preceding Paragraph shall ride his horse to the weighing enclosure and must dismount upon order given by the Clerk of the Course.

3. In event a horse cannot be ridden to the weighing enclosure due to injury, or, disease of the horse, or, for other compelling reason, a jockey can dismount and go to the weighing enclosure upon obtaining the permission of the Clerk of the Course.

4. The weighing in under Paragraph 1 shall be omitted in event the Steward of the Meeting deems a jockey cannot undergo weighing in because of his/her death, or, for other compelling reason.

5. In regards to weighing in, the provisions of Article 98 shall apply.

**Art. 121** A jockey who has gone to the weighing enclosure under the provisions of Paragraph 1 of the preceding Article, must keep his/her horse in the weighing enclosure until instructions are given by the Clerk of the Course.

**(Invalid Race)**

**Art. 122** In case the Steward of the Meeting deems there has been a serious hindrance to the execution of a race due to calamity, stone-throwing, etc., or, to the performance of duties by the officials of the meeting concerned with the race, or, the race was held on a race surface which differs from the prescribed race surface prior to the confirmation of the order of finish under the provisions of Paragraph 3 of Article 125, upon obtaining the approval of the Executive Steward, the race will become invalid.

**(Disqualification)**

**Art. 123** In event the Steward of the Meeting deems a horse, which has arrived at the finish line prior to the confirmation of the order of finish under the provisions of Paragraph 3 of Article 125, falls under any one of the following Items, the horse shall be disqualified.

- (1) In case there has been a violation of the provisions of Paragraphs 1 to 3 of Article 132
- (2) In case the horse was not made to run on its own merits without justifiable reason
- (3) In case there has been a violation of the provisions of Article 100 for an illegitimate purpose
- (4) In event of a horse inflicting liable interference, in case the inflicted horse to this liable interference becomes disqualified because of this liable interference falling under the provisions of Item 6 or Item 8, or, did not arrive at the finish line
- (5) In case there has been a violation of the provisions of Article 114
- (6) In case, after receiving an authentic starting signal, a horse arrives at the finish line after 5 minutes elapses in a race of 3,000 meters, or, less, or, 7 minutes elapses in a race exceeding 3,000 meters
- (7) In case a jockey, exclusive of in case of Paragraph 4 of Article 120, who did not undergo the weighing in as provided in Paragraph 1 of the same Article
- (8) In event the weight measured at weighing out subtracted from the weight measured at weighing in exceeds one kilogram
- (9) In case a horse has been used as a tool for executing an illegitimate agreement, or, for other illegitimate purpose concerned with a race

**2.** The “liable interference” in Item 4 of preceding paragraph, Items 1 and 3 of the following Article and Article 129 refers to the act which could be deemed as interfering with the running of another

horse in violation of the provisions of Paragraphs 1 or 4 of Article 112, or, Article 113 (exclusive of the act of interfering with the running of another horse in violation of the provisions of Paragraphs 1 or 4 of Article 112, in order to avoid danger from the actions of another jockey, or, another horse). Provided, however, these acts shall not be considered as liable interference in case the horse inflicting these acts (hereinafter referred to as Inflicting Horse) is inflicted by these acts by any one of the horses that, were inflicted by these acts by the Inflicting Horse, or, were inflicted by these acts by the horses that were inflicted by these acts by the Inflicting Horse, or, likewise, have connection with the Inflicting Horse in terms of inflicting or being inflicted by these acts.

**(Demotion)**

**Art. 124** The Steward of the Meeting, prior to the confirmation of the order of finish under the provisions of Paragraph 3 of the following Article, in regards to a horse which has arrived at the finish line (exclusive of a horse which was disqualified under the provisions of the preceding Article. Hereinafter referred to as Disqualified Horse), in case it was deemed that liable interference was inflicted (exclusive of in case the horses inflicted by the interference by this horse arrived at the finish line ahead of this horse, and, in case none of the horses were Disqualified Horses), this Inflicting Horse shall be demoted.

2. In the order of finish, the horse which became demoted under the provisions of the preceding Paragraph (hereinafter referred to as Demoted Horse), shall be placed behind the horses inflicted by the Demoted Horse. Provided, however, in case there was a inflicted horse which did not arrive at the finish line (hereinafter referred to as Horse which has Discontinued the Race), or, in case there was a Disqualified Horse, this Demoted Horse shall be placed behind the other horses in the order of finish (exclusive of other Demoted Horses demoted by inflicting other Horses which have Discontinued the Race or other Disqualified Horses).

3. The “inflicted horse” in regards to a certain horse in the preceding 2 Paragraphs and the Appendix, refers to the horse inflicted to liable interference from that horse and the horse inflicted by this inflicted horse, and, the same applies for the horses to follow, the horse involved with that horse in connection with inflicting and the inflicted parties involved in the liable interference.

**(Order of Finish)**

**Art. 125** In a race (exclusive of a race in which there is a Demoted Horse), exclusive of a Disqualified Horse, the horse which was judged to have arrived at the finish line first by the Placing Judge under the provisions of Article 116, shall be made 1st place and the order of finish of any other horse shall be confirmed by adding 1 to the number of horses which the Placing Judge has judged to have arrived at the finish line ahead of the horse in question. In this case, the horses arriving simultaneously at the finish line shall be regarded as dead heat.

2. The order of finish of each horse in case of a race where there was a Demoted Horse, exclusive of a Horse which has Discontinued the Race, or, a Disqualified Horse, a horse shall be regarded as the first place horse, for which there is no horse (hereinafter referred to as the Higher Placed Horse) which can be regarded as having placed ahead in the order of finish as prescribed in the Appendix, and, concerning the other horses, 1 shall be added to the number of Higher Placed Horses. In this case, the

horses arriving simultaneously at the finish line shall be regarded as dead heat.

3. The Steward of the Meeting must declare, without delay, after the completion of the race, the confirmation of the order of finish under the provisions of the preceding 2 Paragraphs. In this case, in event there is a Disqualified Horse, or, a Demoted Horse, this must also be declared together.

4. The order of finish of each horse, as prescribed in the agreement of the proviso of Paragraph 1 of Article 8 of the Regulations, shall be regarded as the order of finish confirmed under the provisions of the preceding Paragraph.

5. The winning horse in Paragraphs 1 to 5 of Article 7 of the Regulations shall be confirmed according to the confirmation declaration of the order of finish by the Steward of the Meeting in Paragraph 3 (in event of multiple type pari-mutuel betting, the confirmation declaration of the order of finish of the final race of the designated number of races by the Steward of the Meeting in Paragraph 3).

**Art.126** The prize money in case of a dead heat, the sum of the prize money up to the number of places which corresponds to the number of horses within the dead heat shall be equally divided among the number of horses within the dead heat.

2. In case of a dead heat, in event it is impossible to divide a certificate of award, or, trophy, it shall be disposed of by drawing lots, or, by other suitable methods.

**(Filing of Interference Objection)**

**Art. 127** An owner, trainer (inclusive of a trainer who has been entrusted with the activities concerning the horse in a race under the provisions of Paragraph 2 of Article 54, or, a training assistant under orders as a proxy), or, a jockey who has run a horse in a race, can file an objection only if it is prior to the confirmation of the order of finish under the provisions of Paragraph 3 of Article 125, in case the horse concerned in the race has been subjected to interference in violation of the provisions of Paragraphs 1 or 4 of Article 112, or, Article 113 (exclusive of an interference in violation of the provisions of Paragraphs 1 or 4 of Article 112, in order to avoid danger from the actions of another jockey, or, another horse).

2. The objection in the preceding Paragraph must be filed in writing to the Steward of the Meeting accompanied by guarantee money of 30,000 yen.

3. In event there has been an objection filed under Paragraph 1, the Steward of the Meeting shall pass ruling on this objection and inform the results to the person who filed the objection.

4. As a result of the ruling, in case it is deemed that there has been an interference concerned with the objection, the guarantee money in Paragraph 2 shall be returned.

**(Change of Order of Finish, etc., after Confirmation of Winning Horse)**

**Art. 128** In regards to a horse whose order of finish has been confirmed under the provisions of Paragraph 3 of Article 125 (inclusive of a horse whose disqualification ruling has been canceled under

the provisions of Paragraph 3 of Article 151), in case the Ruling Committee deems that there are reasons that the horse falls under one of the Items 1, 2, or, 9 of Paragraph 1 of Article 123 within 5 years, or, less, calculated from the day following the day of the race concerned was held, that horse shall be disqualified.

2. In case a ruling to reject an interference appeal has been canceled under the provisions of Paragraph 3 of Article 151, the Ruling Committee shall disqualify the horse which it deems falls under Item 4, Paragraph 1 of Article 123 from among the horses whose order of finish has been confirmed under the provisions of Paragraph 3 of Article 125.

**Art. 129** In case a ruling to reject an interference appeal has been canceled under the provisions of Paragraph 3 of Article 151, the Ruling Committee shall demote the horse which it deems inflicted the liable interference from among the horses whose order of finish have been confirmed under the provisions of Paragraph 3 of Article 125 (exclusive of the horse which has been disqualified under the provisions of Paragraph 2 of the preceding Article).

**Art. 130** In case there was a disqualification under the provisions of Paragraph 1 of Article 128, or, in case of a ruling to reject a disqualification, demotion, or, interference appeal under the provisions of Paragraph 3 of Article 151 has been canceled, the Ruling Committee shall change the order of finish of the horse for which it deems a change in order of finish is necessary from among the order of finish of each horse in that race.

2. In case the disqualification ruling has been canceled under the provisions of Paragraph 3 of Article 153, the President shall change the order of finish of the horse he deems a change of order of finish is necessary from among the order of finish of each horse in that race.

3. The order of finish after the change of order of finish of the horses for whom the order of finish is to be changed under the provisions of the preceding 2 Paragraphs and the order of finish of a horse whose disqualification ruling, or, decision was canceled under the provisions of Paragraph 3 of Article 151, or, Paragraph 3 of Article 153, shall be decided under the provisions of Paragraphs 1 or 2 of Article 125.

**(Return of Prize Money, etc.)**

**Art. 131** In event there has been a change of order of finish under the provisions of Paragraphs 1 or 2 of the preceding Article, a person who has already received the certificate of award, prize, or, prize money for the horse of the disqualification concerned, or, the horse whose order has been changed due to the change of order of finish concerned in the race concerned, must return the certificate of award, prize, or, prize money concerned to the JRA by the date designated by the President as prescribed in the program.

## **CHAPTER 9 – PROHIBITED SUBSTANCES**

**(Prohibition of Administration of Drugs and Medication which affect Race Performance)**

**Art. 132** Any horse already declared to start and any other horse nominated to start in a race (in the next Paragraph referred to as Any Horse Nominated to Start) must not be administered drugs, or, medication mentioned in Separate Table (2), (hereinafter referred to as Prohibited Drugs, or, Medication), which temporarily stimulate, or, depress race performance.

2. A substance, even if it was other than the Prohibited Drugs, or, Medication, must not be administered to Any Horse Nominated to Start for the purpose of temporarily stimulating, or, depressing race performance.

3. A horse must not be declared to start which is under the influence of Prohibited Drugs, or, Medication.

4. The trainer, in order to prevent violations of the rules of the preceding 3 Paragraphs, must institute appropriate measures regarding horses under his care.

5. In case of a violation, or, a suspected violation of the rules from Paragraphs 1 to 3, the Steward of the Meeting can inspect the body of the horse concerned, collect testing material (urine, saliva, blood, hair, etc. Hereinafter the same shall apply), or, take any other necessary procedure.

6. The Veterinary Officer can give necessary orders, or, instructions to the trainer, jockey, training assistant, jockey candidate, or, groom in order to prevent the use of the drug, or, medication as provided in Paragraphs 1 and 2.

**Art. 133** The entrance into the stable area of the Veterinary Officer, or, any other person under his/her orders for the purpose of supervision cannot be refused.

**(Physico-Chemical Test)**

**Art. 134** A test (hereinafter referred to as Physico-Chemical Test) for Prohibited Drugs, or, Medication shall be conducted for horses up to 3rd place in the confirmed order of finish under the provisions of Paragraph 3 of Article 125 and any other horse designated by the Steward of the Meeting.

2. The trainer of the horse under the preceding Paragraph must undergo collection of sample (urine, or, blood. Hereinafter the same shall apply) necessary for Physico-Chemical Test in regards to the horse concerned. Provided, however, this shall not apply in case the Steward of the Meeting especially deem it not necessary.

3. The trainer under the provisions of the preceding Paragraph must lead the horse concerned to the sample collecting enclosure immediately after the order of finish becomes official under the provisions of Paragraph 3 of Article 125 and must keep the horse concerned at the sample collecting enclosure until the collection is finished. Provided, however, in case the Veterinary Officer deems it a special necessity, he/she can designate another place to collect the sample instead of the sample collecting enclosure.

4. The horse as provided in Paragraph 1 must not be fed, or, administered medicine from the finish of the race concerned till the completion of the sample collection. Provided, however, this shall not apply in case the Veterinary Officer especially deems it necessary.

5. The JRA shall subdivide the collected sample into A sample and B sample and affix identical sample numbers to each container.

6. The trainer in Paragraph 2 shall present himself/herself at the sample collection enclosure (inclusive of the place as provided in the proviso of Paragraph 3) and confirm the collection of the sample from the horse concerned as a witness and shall sign the label to be affixed to A sample and B sample containers. In this case, the trainer of the horse concerned can appoint a person with the permission of the Veterinary Officer to represent him/her.

7. The Veterinary Officer shall prescribe instructions necessary in the management of the horse at the sample collecting enclosure.

**Art. 135** In case of as provided in Paragraph 5 of Article 132, a Physico-Chemical Test shall be conducted on the testing material collected based on the provisions of the same Paragraph when deemed necessary by the Steward of the Meeting.

2. The provisions of Paragraphs 5 and 6 of the preceding Article shall be applied mutatis mutandis in regards to the Physico-Chemical Test in the preceding Paragraph. In this case, the wording “the collected sample” in Paragraph 5 of the preceding Article shall be read as “the testing material collected” and in Paragraph 6 of the same Article, “the trainer in Paragraph 2 shall present himself/herself at the sample collection enclosure (inclusive of the place as provided in the proviso of Paragraph 3)” as “the trainer of the horse as provided in Paragraph 5 of Article 132 shall present himself/herself at the place designated by the Steward of the Meeting” and “the collection of the sample” as “the collection of test material” and “the Veterinary Officer” as “the Steward of the Meeting”.

**Art. 136** The JRA shall have the Laboratory of Racing Chemistry (hereinafter referred to as Laboratory) conduct the Physico-Chemical Test as provided in the preceding 2 Articles, upon sealing the collected A sample and B sample containers, shall promptly send them to the Laboratory.

2. The Physico-Chemical Test in the preceding Paragraph shall first be conducted for A sample and, in case the existence of prohibited drug or medication has been confirmed in the Physico-Chemical Test concerned, the Laboratory shall immediately report to the JRA the sample number of the A sample concerned and the name of the prohibited drug or medication whose existence has been confirmed.

3. The JRA, in case of receiving the report in the preceding Paragraph, shall designate the date and time of the Physico-Chemical Test for the B sample (hereinafter referred to as Retest) and notify the Laboratory to conduct the Retest on the date and time concerned.

4. The Retest shall be conducted in the presence of a person commissioned by the President from

among persons of knowledge and learning connected with Physico-Chemical Test.

**5.** No person other than the witness as provided in the preceding Paragraph can be present in the Retest.

**6.** In the Retest, in case the existence of the same prohibited drug or medication as the prohibited drug or medication in the Physico-Chemical Test for the A sample in Paragraph 2 has been confirmed, this shall be regarded as violation of the provisions of Paragraph 1 of Article 132.

**7.** In the Physico-Chemical Test as provided in Paragraph 1, in regards to the substances specially designated among the Prohibited Drugs, or, Medication listed in Separate Table (2), the existence shall be confirmed based on threshold value connected with the Prohibited Drugs, or, Medication concerned (limited to in case at the time of passing judgement on the existence or not of Prohibited Drugs, or Medication it exceeded a fixed value in the Physico-Chemical Test, this refers to the value concerned as the Prohibited Drugs, or, Medication whose existence has been confirmed).

## **CHAPTER 10 – PENALTIES, ETC.**

### **(Kinds of Penalty)**

**Art. 137** The penalties imposed by the JRA are as follows.

- (1) Suspension of a trainer from training, or, a jockey from riding
- (2) Imposing an administrative fine to a trainer, jockey, training assistant, jockey candidate, or, groom
- (3) Reprimand to an owner, trainer, jockey, training assistant, jockey candidate, or, groom
- (4) Prohibition of, or, suspension from participation in horse racing conducted by the JRA to an owner, trainer, jockey, training assistant, jockey candidate, or, groom, or, to a person who was an owner, trainer, jockey, training assistant, jockey candidate, or, groom

### **(Prohibition of, or, Suspension from Participation in Horse Racing)**

**Art. 138** An owner, trainer, jockey, training assistant, jockey candidate, or, groom falling under any one of the following Items shall be prohibited of, or, suspended from participating in horse racing conducted by the JRA.

- (1) A person who forges, alters, or, unlawfully uses a document certifying the pedigree of a horse
- (2) A person who undergoes racehorse registration, or nomination, for an ineligible horse, or, runs an ineligible horse for an illegitimate purpose
- (3) A person who offers, or, makes an illegitimate agreement concerned with a race

- (4) A person who receives, demands, or, promises to receive material goods, or, other profits on the condition that he will commit an act which will endanger the integrity of a race
- (5) A person who assaults, threatens, or, offers, gives, or, promises to give material goods, or, other profits to a trainer, jockey, training assistant, jockey candidate, or, groom for the purpose of endangering the integrity of a race
- (6) A person who inflicts injury, or, attempts to inflict injury, or, gives improper treatment, or, attempts to give improper treatment to a racehorse for the purpose of endangering the integrity of a race
- (7) A jockey who violates the provisions of Article 100 for an illegitimate purpose
- (8) A person who prevents a horse from running on its own merits for the purpose of acquiring profits, or of advantaging other people in a race.
- (9) A person who violates the provisions of Paragraph 1, or, 2 of Article 132
- (10) A person who knowingly runs, or, attempts to run a horse which falls under the provisions of Paragraph 1, or, 2 of Article 132
- (11) A person who interferes with the operation of a race, or, the performance of the duties of an official of the meeting (inclusive of the course attendance enhancement official, public relations official, information control official, media and publicity official, patrol judge and facilities official in Paragraph 2 of Article 178. The same shall apply to Items 14 and 15 of Article 147 and Paragraph 1 of Article 179).
- (12) A person who has been punished with a fine or a graver charge, in violation of the provisions of the Law, The Japan Racing Association Law, The Bicycle Race Law, The Motorcycle Racing Law and The Motorboat Racing Law.
- (13) Exclusive of a person falling under the preceding Item, a person who has been sentenced to a punishment of imprisonment without work or heavier and for whom there is a sufficient reason to deem that this person shall endanger the integrity of horse racing

2. A person who falls under any one of the Items of the preceding Paragraph and who, at the time falls under any one of the Items of the preceding Paragraph (at the time the fact of the crime was indicated in the judgment of guilty in regards to Items 12 and 13) was an owner, trainer, jockey, training assistant, jockey candidate, or, groom, shall be prohibited of, or, suspended from participating in horse racing conducted by the JRA.

**Art. 139** In case a trainer, jockey, training assistant, jockey candidate, or, groom, falls under any one of the following Items, he/she must report the fact to the Steward of the Meeting.

- (1) In case that he/she has been offered an agreement falling under Item 3, Paragraph 1 of the preceding Article
- (2) In case that he/she has been subjected to violence, or, threatened, or, was offered, or, given any material goods, or, other profits falling under Item 5, Paragraph 1 of the preceding Article
- (3) In regards to a racehorse, when injury has been inflicted, or, an attempt was made to inflict, or, has been improperly treated, or, an attempt has been made to improperly treat, as provided in Item 6, Paragraph 1 of the preceding Article

**(Suspension for Horses from Running)**

**Art. 140** In order to uphold the integrity of races, in event a horse falls under any one of the following Items the horse shall be suspended from running for a prescribed period.

- (1) In event that there is a concern that the horse will endanger other horses in the race
- (2) In event that training is inadequate
- (3) In event that there is an impairment of health
- (4) In event that there is a violation of the provisions of Paragraphs 1 or 2 of Article 132
- (5) In event that there is a concern that the horse will be used for executing an illegitimate agreement and for other illegitimate purpose in connection with races

**Art. 141** In case an owner (or, the officer in case of a corporation, or the member of an Association) who has been prosecuted for violation of the Law, or, in case he/she has been prosecuted in any other criminal case deemed as endangering the integrity of horse racing, the horse owned by the person (inclusive of a Partnership Horse, a horse owned by the corporation in case of its officer and a horse included in the properties of the Association in case of its member) shall be suspended from running until a decision has been made at the trial.

**Art. 142** In regards to a declared horse (in case of Paragraph 1 of Article 86, in event a horse which can run as prescribed under the provisions of the same Paragraph of the same Article) does not run for causes other than the causes mentioned under each of the Items of Paragraph 1 of Article 85, the horse shall be suspended from running in a race for a prescribed period of 30 days, or, less.

**Art. 143** In event an owner who must return the certificate of award, prize, or, prize money under the provisions of Article 131 fails to return these items by the date designated by the President, the horse concerned owned by the person (inclusive of a Partnership Horse, and a horse included in the properties of the Association in case of its member. The same shall apply to the following article) shall be suspended from running from the day following the designated day up to the day these items have been returned.

**Art. 144** In case, under the provisions of the rules connected with the conduct of Regional Public Racing, an owner who has run a horse in Regional Public Racing designated exchange race must return the certificate of award, prize, prize money, incentive money, allowances or other money and goods similar to this (hereinafter referred to as prize money, etc. in this Article) by the designated date, in event the prize money, etc., is not returned by the date concerned, the horse owned by that person shall be suspended from running from the day following the designated day to the day it is returned..

**(Suspension from Training, or, Riding)**

**Art. 145** Exclusive of in case of those falling under any one of the Items of Paragraph 1 of Article 138, a trainer, or, jockey who falls under any one of the following Items shall be suspended from training, or, riding for a prescribed period.

- (1) A jockey who violates the provisions of Paragraph 1 of Article 120 without justifiable reason
- (2) A jockey who violates the provisions of Items 2 or 3 of Article 110, or, a jockey who violates the provisions of Article 111 without justifiable reason.
- (3) A trainer, or, jockey who endangers the integrity of a race, or, causes a fraudulent act in a race

**Art. 146** In case a trainer, or, jockey who has been prosecuted for violation of the Law, or, in case he/she has been prosecuted in any other criminal case deemed as endangering the integrity of horse racing, the person shall be suspended from training, or, riding until a decision has been made at the trial.

**Art. 147** Exclusive of in case of those falling under any one of the Items of Paragraph 1 of Article 138, or, any one of the Items of Article 145, or, of the preceding Article, an owner, trainer, jockey, training assistant, jockey candidate, or, groom, falling under any one of the following Items shall be suspended from training, or, riding for a prescribed period, or, reprimanded, or, imposed an administrative fine of 500,000 yen, or, less.

- (1) An owner, or, trainer who undergoes, or, attempts to undergo racehorse registration or nomination for an ineligible horse, or, runs, or, attempts to run an ineligible horse
- (2) A Representative Part Owner who neglects the notification under Article 14, or, who makes a false notification
- (3) An owner who neglects the notification under Article 27, or, who makes a false notification
- (4) A trainer who concludes a training contract with a person who has borrowed the name of an owner
- (5) A trainer who violates the provisions of Article 54

- (6) A trainer, or, jockey who violates the provisions of Articles 94 to 96, or, Article 103
- (7) A jockey who violates the provisions of Paragraphs 1 or 3 of Article 99, or, Paragraphs 1 to 3 of Article 104, or, a trainer, or, jockey who violates the provisions of Articles 101 or 112 without any justifiable reason
- (8) A jockey who violates the provisions of Article 100
- (9) A jockey who enters the weighing enclosure dismounted without the permission under Paragraph 3 of Article 120
- (10) A jockey who violates the provisions of Articles 113, 114 or 121, or, a jockey who falls under the provisions of Item 1 of Article 110
- (11) A trainer who unknowingly runs, or, attempts to run a horse falling under the violation of the provisions of Paragraphs 1 or 2 of Article 132, makes a declaration to start a horse in violation of the provisions of Paragraph 3 of the same Article as the proxy of the owner, or, violates the provisions of Paragraph 4 of the same Article
- (12) A trainer who violates the provisions of Paragraphs 2, 3 or 6 of Article 134, or, a trainer, jockey, training assistant, jockey candidate, or, groom who violates the provisions of Paragraph 4 of the same Article
- (13) A trainer, jockey, training assistant, or, groom who violates the provisions of Article 131
- (14) A person who does not obey the orders, or, instructions of the officials of the meeting
- (15) A person who obstructs the performance of the duties of a person, other than the officials of the meeting, engaged in business concerned with horse racing
- (16) A trainer, jockey, training assistant, jockey candidate, or, groom who violates the provisions of Article 139
- (17) A person (exclusive of a person who has already received a reprimand from the organization which conducts rulings concerned with the race concerned) who has committed an act endangering the implementation of impartial and safe horse racing of Regional Public Racing designated exchange race
- (18) A person (exclusive of a person who has already received a reprimand, or, has been imposed an administrative fine from an organization which conducts rulings concerned with the race concerned, or, a jockey who has been suspended from riding for a prescribed period and who is continuously in an area outside this country and, further, deemed as having completed the period of suspension of the riding concerned) who has committed an act endangering the implementation of impartial and safe racing of a race in foreign horse racing

(19) A person, in addition to the preceding Items, who neglects to exercise due care in the conduct of his/her business in regards to upholding the integrity of horse racing

(20) A person who commits a misconduct which has caused noticeable harm to upholding the integrity of horse racing

**(Distribution of Power)**

**Art. 148** The disposition to suspend training, riding, or, running of a horse for 30 days, or, less, and, to impose administrative fines, or, to reprimand concerned with the causes occurring during the race meeting shall be conducted by the Steward of the Meeting exclusive of disposition taken on the items as provided in the following Paragraph.

2. In regards to items deemed necessary to impose a disposition to prohibit of, or, suspend from participation in horse racing, or, the suspension of training, riding, or, running of a horse exceeding 30 days concerned with the causes occurring during the race meeting, the Steward of the Meeting shall submit to the Ruling Committee, inquiry documents together with any other particulars deemed necessary and their opinions.

3. The disposition in regards to the items as provided in the preceding Paragraph and the disposition concerned with the causes occurring outside of the race meeting period shall be conducted by the Ruling Committee.

4. In event the Steward of the Meeting deems necessary, they may suspend any person connected with the items to be determined upon by the Ruling Committee from training, riding, or, running of a horse until the decision has been made, or, suspend the presentation of the certificate of award, prize, or, payment of the prize money to the person until the decision has been made.

5. Items necessary in regards to the composition and operation of the Ruling Committee will be prescribed by the President.

**(Preventive Measure)**

**Art. 149** In event the Steward of the Meeting deemed necessary to uphold the integrity of a race, shall take necessary preventive measures against the trainer, jockey, training assistant, jockey candidate, or, groom.

**(Filing an Appeal in regards to Ruling)**

**Art. 150** A person who falls under any one of the following Items and is dissatisfied in regards to the disqualification, demotion, or, overruling of the ruling concerned, can file an appeal against the Ruling Committee.

(1) The owner, trainer, and jockey of the Disqualified Horse (inclusive of a person who was the owner, trainer and jockey of the horse concerned at the time of the ruling concerned. The same shall apply to the following Item and Item 3)

(2) The owner, trainer and jockey of the Demoted Horse

(3) The owner, trainer and jockey who has had the interference objection in Paragraph 1 of Article 127 overruled

2. The filing of the appeal under the provisions of the preceding Paragraph must be done in writing describing the following mentioned Items within 2 days, or less, calculated from the following day the ruling concerned was made accompanied by guarantee money of 100,000 yen.

(1) The name, age and domicile, or, the title and domicile of the person filing the appeal

(2) The ruling connected with filing the appeal

(3) The purpose and reason for filing the appeal

(4) The date of filing the appeal

**Art. 151** In event the Ruling Committee has received the appeal under the provisions of Paragraph 1 of the preceding Article, this will be examined without delay and the person filing the appeal shall be informed of the results of the decision in writing.

2. In event there is no reason for the appeal filed under the provisions of Paragraph 1 of the preceding Article, the Ruling Committee shall make the decision to overrule the appeal concerned.

3. In event there is a reason for the appeal filed under the provisions of Paragraph 1 of the preceding Article, the Ruling Committee shall make the decision to cancel the ruling concerned.

**(Filing an Appeal in regards to Decision)**

**Art. 152** An owner, trainer and jockey (inclusive of a person who was the owner, trainer and jockey of the horse concerned at the time the race was held in which the disqualification concerned occurred) of the horse disqualified under the provisions of Paragraph 1 of Article 128 who is dissatisfied in regards to the decision concerned, can file an appeal against the President.

2. The provisions of Paragraph 2 of Article 150 will be applied mutatis mutandis in regards to the appeal filed under the provisions of the preceding Paragraph. In this case, the “ruling” in the same Paragraph shall be read as “decision” and “within 2 days, or, less” as “within 30 days, or, less”, respectively.

**Art. 153** In event the President has received the appeal filed under the provisions of Paragraph 1 of the preceding Article, this will be examined without delay and the person filing the appeal shall be informed of the results of the decision in writing.

2. In event there is no reason for the appeal filed under the provisions of Paragraph 1 of the preceding

Article, the President shall make the decision to overrule the appeal concerned.

3. In event there is a reason for the appeal filed under the provisions of Paragraph 1 of the preceding Article, the President shall make the decision to cancel the decision concerned.

**(Filing an Appeal in regards to Penalty)**

**Art. 154** A person from among the owner, trainer, or, jockey of a Disqualified Horse, or, a Demoted Horse who has received a penalty in regards to an act by that person and was the cause of the disqualification, or, demotion concerned and who is dissatisfied with the penalty concerned, can file an appeal against the Ruling Committee. Provided, however, this cannot be done if this is not conducted together with filing an appeal under the provisions of Paragraph 1 of Article 150.

2. The filing of the appeal under the provisions of the preceding Paragraph must be done in writing describing the items mentioned in Items 1, 3 and 4, Paragraph 2 of Article 150 and the penalty connected with the appeal concerned within 2 days, or, less calculated from the following day the penalty concerned was made.

**Art. 155** In event the Ruling Committee has received the appeal filed under the provisions of Paragraph 1 of the preceding Article, this will be examined without delay and the person filing the appeal shall be informed of the results of the decision in writing.

2. In event there is no reason for the appeal filed under the provisions of Paragraph 1 of the preceding Article, the Ruling Committee shall make the decision to overrule the appeal concerned.

3. In event there is a reason for the appeal filed under the provisions of Paragraph 1 of the preceding Article, the Ruling Committee shall make the decision to cancel, or, change the penalty concerned.

**(Withdrawal of Appeal)**

**Art. 156** The person filing the appeal can withdraw in writing the appeal concerned at any time until up to the time the decision connected with the appeal concerned is made by the Ruling Committee, or, the President.

2. In case the appeal filed under the provisions of Paragraph 1 of Article 150 is withdrawn, the appeal filed under the provisions of Paragraph 1 of Article 154 shall be considered as having been withdrawn together.

**(Confiscation and Return of Guarantee Money)**

**Art. 157** In case of the overruling under the provisions of Paragraph 2 of Article 151, or, Paragraph 2 of Article 153, and, in case of the withdrawal under the provisions of Paragraph 1 of the preceding Article, the guarantee money connected with the appeal concerned shall be confiscated.

2. In case of a cancellation under the provisions of Paragraph 3 of Article 151, or, Paragraph 3 of Article 153, the guarantee money connected with the appeal concerned (inclusive of the guarantee money in Paragraph 2 of Article 127, in case this is connected with Item 3, Paragraph 1 of Article

150) shall be returned.

## CHAPTER 11 - PARI-MUTUEL BETTING

### (Types of Pari-mutuel Betting)

**Art. 158** The types of pari-mutuel betting conducted by the JRA are Win (select first-placed horse), Place (select a horse to place from first through third), correct order forecast, either order forecast, and multiple type pari-mutuel betting.

2. The correct order forecast pari-mutuel betting conducted by the JRA are Exacta (combination of first and second-place horses) and Trifecta (combination of first, second and third-placed horses).

3. The either order forecast pari-mutuel betting conducted by the JRA are the Bracket Quinella (combination of first and second-placed horses according to the bracket number), Quinella (combination of first and second-placed horses), Quinella-place (combination of two horses to finish first through third place), and Trio (combination of three horses to finish first, second and third place)..

4. The multiple type pari-mutuel betting conducted by the JRA is referred as “Pick n “ (where n denotes the number of races) which is to select the winning horses in five designated races.

5. In regards to the horse racing conducted at a racecourse designated by the President, of among the correct order forecast pari-mutuel betting provided in Paragraph 2, the either order forecast pari-mutuel betting provided in Paragraph 3, and multiple type pari-mutuel betting provided in the preceding Paragraph, any one of the pari-mutuel betting types may not be available.

6. The multiple type pari-mutuel betting provided in Paragraph 4 may not be available twice, or more, on the same day.

**Art. 159** In case Bracket Quinella is available, the bracket numbering is subject to examples in Separate Table (3) from among the numbering method examples in Appendix 3 of the Regulations.

**Art. 160** The Exacta, Bracket Quinella and Quinella shall not be available in event there are 2 horses, or less to start at the time the sale of pari-mutuel betting tickets begins.

2. The Quinella-place, Trifecta and Trio shall not be available in event there are 3 horses or less to start at the time the sale of pari-mutuel betting tickets begins.

3. The Bracket Quinella shall be available for a race in which there are horses with identical bracket numbers under the provisions of the preceding Article at the time the sale of pari-mutuel betting ticket begins.

### (Pari-mutuel Betting Ticket)

**Art. 161** On each pari-mutuel betting ticket, the following mentioned Items shall be described.

- (1) The words indicating the kind of pari-mutuel betting
- (2) The name of the racecourse concerned (in case of multiple type pari-mutuel betting, should there be more than one racecourse concerned, the names of all the racecourses concerned)
- (3) The words indicating the year of the race meeting concerned (in case of multiple type pari-mutuel betting, should there be more than one race meetings concerned, the names of all the race meetings concerned; hereinafter the same shall apply in this Item ) and the numerical order of the race meeting concerned for that year
- (4) The words indicating which day the race concerned (in case of multiple type pari-mutuel betting, all the races concerned; the same shall apply to the preceding Item and Item 6) is of the race meeting concerned
- (5) The number of the race concerned
- (6) In regards to the race concerned, one (1), or, more kinds of horse numbers (or, the combination in regards to correct order forecast pari-mutuel betting, either order forecast pari-mutuel betting, and multiple type betting; hereinafter the same shall apply)
- (7) The amount (equivalent to the amount in 100 yen units) of pari-mutuel betting concerning each of the horse numbers in the preceding Item and the total amount in case of describing the horse numbers of two (2) , or, more kinds of horse numbers
- (8) The number of the pari-mutuel betting ticket

**(Sale of Pari-mutuel Betting Tickets)**

**Art. 162** The pari-mutuel betting tickets shall be sold at the pari-mutuel betting places inside the racecourses prescribed in Separate Table (1) and at the off course betting facilities outside the racecourse with approval provided by Paragraph 1 of Article 2 of the Ordinance.

**2.** Pari-mutuel betting tickets sold as specified in as the following Items of this Article, shall be represented and recorded by creation of an electromagnetic record as provided in Paragraph 3 of Article 5 of the Law.

- (1) In event a person who desires to purchase pari-mutuel betting tickets has applied to the JRA to purchase pari-mutuel betting tickets by phone (inclusive of the use of computers and other terminals designated by the President), pari-mutuel betting tickets sold by the JRA on the basis of a contract as follows (hereinafter referred to as Telephone Betting Contract); the JRA shall receive the pari-mutuel betting tickets pertaining to said application on behalf of the applicant, and the applicant shall transfer a sum corresponding to the amount pertaining to said pari-mutuel betting from the applicant's bank account to the bank account of the JRA, and the

JRA will transfer Payoff Money etc. (Payoff Money, Benefits provided in Items 1 and 2 of Paragraph 1 of Article 5 of Supplementary Provisions of the Law, and Refund Money, (hereinafter the same shall apply) pertaining to said pari-mutuel betting tickets to the applicant's bank account

(2) In event a person who desires to purchase pari-mutuel betting tickets has applied to the JRA to purchase pari-mutuel betting tickets using an identification card (identified as a card designated by the President, whereby this person who desires to take part in pari-mutuel betting may be identified), pari-mutuel betting tickets sold by the JRA on the basis of a contract as follows (hereinafter referred to as ID Card Betting Contract); the JRA shall receive pari-mutuel betting tickets pertaining to said application using a method stipulated by the President on behalf of the applicant, that the JRA shall deduct, from an amount specified by the applicant in advance as the sum for purchases of betting tickets using a computer of the JRA, a sum corresponding to the amount of said pari-mutuel betting, or add a sum corresponding to the Payoff Money etc. pertaining to said pari-mutuel betting tickets, and the JRA shall settle the sum of these amounts arising as a result of said deductions and additions with the applicant

3. The sale of pari-mutuel betting tickets shall be conducted with pari-mutuel betting tickets as provided in Paragraph 2 of Article 5 of the Law.

**Art. 163** The pari-mutuel betting tickets cannot be sold until after the horses which are to run in that race (in case of multiple type pari-mutuel betting, in all races concerned) have been confirmed.

2. The sale of pari-mutuel betting tickets shall be closed on or before start of that race (in case of multiple type pari-mutuel betting, the close of the first race among the races concerned).

**(Official Announcement of Number of Pari-mutuel Betting Tickets Sold, etc.)**

**Art. 164** In event the sale of pari-mutuel betting tickets is closed, the following items shall be posted without delay.

(1) The number of pari-mutuel betting tickets sold, classified according to each type of pari-mutuel betting, according to each horse for win and place pari-mutuel betting, and according to each combination for correct order forecast pari-mutuel betting, either order forecast pari-mutuel betting, and multiple type pari-mutuel betting.

(2) For multiple type pari-mutuel betting, should there be any additional money as provided for in Paragraph 1 and Paragraph 3 of Article 9 of the Horseracing Law, the amount of additional money.

**Art. 165** In event of the sale of pari-mutuel betting tickets, the betting records shall be kept in the custody of the general manager of the racecourse concerned or the manager of the off-course betting facility concerned for 60 days, or, more.

2. The record should be a tape including all items as provided for in Article 161, and the rolls of tapes for 1 day should be kept together for each pari-mutuel selling machine. The said rolls should include

words describing the racecourse concerned, the year of the race meeting concerned and the numerical order of the race meeting concerned for that year, and which day the race concerned is of the race meeting concerned.

**3.** The pari-mutuel betting tickets sold by the JRA based on the Telephone Betting Contract shall be kept in the custody of the general manager of the section responsible for the business of application by telephone for the purchase of pari-mutuel tickets for 60 days, or, more and in regards to betting records for the pari-mutuel betting tickets concerned, the provisions in the preceding 2 Paragraphs shall not apply.

**4.** In event pari-mutuel betting tickets have been sold on the basis of an ID Card Betting Contract, the individual ID card concerned shall be kept in the custody of the general manager of the racecourse concerned or the manager of the off-course betting facility concerned, for 60 days or more, and the provisions of Paragraphs 1 and 2 shall not apply to the counterfoil of said pari-mutuel betting tickets. Provided, however, this shall not apply to cases separately prescribed by the President.

**(Random Placing)**

**Art. 166** For Exacta, Bracket Quinella, Quinella and Quinella Place, in event there are two (2) horses, or, more placing 1st, one (1) horse shall be considered as the horse which placed 2nd from among these horses.

**2.** For Quinella Place, in event there are two (2) horses, or, more placing 2nd, one (1) horse shall be considered as the horse which placed 3rd from among these horses.

**3.** For Trifecta and Trio, in event there are three (3) horses, or more placing 1st, any two of these horses shall be considered as horses which placed 2nd and 3rd among these horses, and in event there are two (2) horses placing 1st, any one of the two horses shall be considered as the horse which placed 2nd among these horses, and in event there are two (2) horses, or more placing 2nd, any one of them shall be considered as the horse which placed 3rd among these horses.

**(Payoff Money etc.)**

**Art. 167** In event the winning horse has been decided, the following items shall be officially announced without delay.

- (1) The amount of Payoff Money (in case Benefits of Item 1 or Benefits of Item 2 provided in each of the Items of Paragraph 1, Article 5 of the Supplementary Provisions of the Horseracing Law is distributed, the sum of Payoff Money concerned and the Benefits of Item 1 or Benefits of Item 2 under the Article 7, Article 8 and Paragraph 2 of Article 9 of the Horseracing Law.
- (2) For multiple type pari-mutuel betting, the amount of additional money in case additional money is distributed under Paragraph 1 or Paragraph 3 of Article 9 of the Horseracing Law.
- (3) In event there is no winning pari-mutuel ticket for multiple type pari-mutuel betting, the payoff money shall not be distributed.

**Art. 168** The Payoff Money etc. shall be distributed in exchange for the pari-mutuel betting tickets

concerned.

**Art. 169** The Payoff Money etc. shall be distributed at Payoff Money distribution places inside the racecourses prescribed in Separate Table (1) and at the off course Payoff Money facilities outside the racecourse with approval provided by Paragraph 1 of Article 2 of the Ordinance.

**Art. 170** The Payoff Money etc. shall not be distributed on pari-mutuel betting tickets with illegible letters described under the provisions of Article 161, or, on extremely mutilated pari-mutuel betting tickets.

## **CHAPTER 12 - ADMISSION FEE, ATTENDANCE, ETC.**

### **(Admission Fee)**

**Art. 171** An admission fee prescribed by the President within the limits of from 100 yen to 5,000 yen per person per day shall be collected from persons entering the racecourse and admission tickets shall be distributed to them. Provided, however, this shall not apply in case permission for any other handling is obtained from the Minister for the Agriculture, Forestry and Fisheries under the proviso of Article 4 of the Law.

2. Admission fees shall not be collected in event of persons mentioned in the following Items regardless of the provisions of the main text of the preceding Paragraph.

(1) A person prescribed in Items 1 to 6 provided in Paragraph 1 of Article 4 of the Regulations

(2) Imperial Family

(3) A diplomat

(4) An academic expert in the horse racing field and prescribed by the President

(5) A person who has made meritorious contribution to National Racing and prescribed by the President

(6) A person who has bred a horse which has undergone racehorse registration in National Racing

(7) A press person related to National Racing and prescribed by the President

(8) An officer, or, official of an organization approved by the Minister for Agriculture, Forestry and Fisheries, or, an official of an administrative organ connected with National Racing and prescribed by the President

(9) A police personnel, fire personnel, railways official and other persons the President deems necessary in connection with the operation of horse racing

- (10) A person under 15 years of age
- (11) A person engaged in National Racing business activities
- (12) A person working in the concessions inside the racecourse

**(Person Engaged in Business Activity, etc.)**

**Art. 172** During a race meeting, persons mentioned in the following Items must wear a badge, or, carry a pass issued by the JRA at pari-mutuel betting places, or, Payoff Money distribution places inside the racecourse, or, outside the racecourse (hereinafter referred to as Off Course Facilities)

- (1) An officer, or, an official of the JRA, or a person engaged in business activity of National Racing.
- (2) A trainer, jockey, training assistant, jockey candidate or groom
- (3) An owner
- (4) A person engaged in press reporting
- (5) A person other than those mentioned in each of the preceding Items who is deemed necessary by the JRA

**2.** In case of the preceding Paragraph, those persons designated by the President from among the persons in Items 1 and 2 of the same Paragraph must wear uniforms as prescribed by the JRA in addition to the badge, or, pass as provided in the same Paragraph.

**3.** The range of the persons mentioned in Items 4 and 5 of Paragraph 1 shall be prescribed by the Executive Steward.

**(Control inside the Stable Area)**

**Art. 173** No person other than the persons mentioned in the following Items can enter the stable area of the racecourse during a race meeting.

- (1) A government official related to horse racing
- (2) An officer, or, an official of the JRA, or a person engaged in business activity of National Racing.
- (3) A trainer, jockey, training assistant, jockey candidate, or, groom
- (4) An owner who has entrusted horses with a stable of that racecourse
- (5) A person other than those mentioned in each of the preceding Items who has the special

permission of the Executive Steward

**(Refusal of Admittance)**

**Art. 174** The Executive Steward can refuse to admit to the racecourse any person falling under any one of the following Items (or any one of Items 2 to 8 in case of the proviso of Paragraph 1 of Article 171) on a day in which horse racing is held.

- (1) A person who does not possess an admission ticket (exclusive of a person mentioned in each of the Items of Paragraph 2 of Article 171)
- (2) A person who is prescribed in each of the Items of Paragraph 1 of Article 172 and does not wear a badge or pass issued by the JRA
- (3) A person prohibited of, or, suspended from participating in any horse racing held by the JRA, the forty-seven prefectures, or, the designated municipalities
- (4) A person who obstructs, or, coerces, or, interferes with the purchase of pari-mutuel betting tickets of another person without reason
- (5) A person who is mentioned in, or, for whom there is a concern that he/she will fall under Item 3 of Article 30, Item 1 of Article 31, or, Item 2 of Article 33 of the Law
- (6) A person for whom there is a sufficient reason to deem that this person may commit, as a group, or, habitually, a violent and illegal act and other crimes mentioned in each of the Items of Article 1 of the enforcement regulations of the law on prevention of gangsters' injustice
- (7) A person who is annoying other people for his/her behavior or attire.
- (8) A person, in addition to those mentioned in the preceding Items, for whom there is a concern that this person will endanger the integrity of horse racing, or, disturb the peace inside the racecourse

**(Order to Leave)**

**Art. 175** The Executive Steward can order a person to leave the racecourse in case the person who has already entered on horse racing day falls under any one of the following Items.

- (1) A person who is mentioned in any one of the Items (or any one of Items 2 to 8 in case of the proviso of Paragraph 1 of Article 171) of the preceding Article
- (2) A person who commits, or, attempts to commit an illegal act
- (3) A person who commits, or, attempts to commit an act impairing the operation of horse racing
- (4) A person who disturbs the peace inside the racecourse

- (5) A person who forecasts winners as a business inside the racecourse, or, a person who sells articles inside the racecourse without permission of the Executive Steward

**(Provision Applied Mutatis Mutandis)**

**Art. 176** The provisions of the preceding 2 Articles (exclusive of the portion concerned with Items 1 and 2 of Article 174), shall be applied mutatis mutandis in regards to Off Course Facilities. In this case, the “racecourse” shall be read as “Off Course Facilities”.

**(Specific No Trespassing Areas)**

**Art. 177** No person may enter the track, steward's stand, weighing room, saddling enclosure, sample collecting enclosure, paddock, pari-mutuel betting place, or, Payoff Money distribution place except for an officer or an official of the JRA, a person engaged in the business activity of horse racing at these places, or, a person the Executive Steward has given special permission.

## **CHAPTER 13 - OFFICIALS OF THE MEETING**

**(Officials of the Meeting)**

**Art. 178** When holding a race meeting, the JRA allocates the following officials in order to carry out business provided in each of the Items of Paragraph 2 of Article 15 of the Ordinance.

- (1) Executive Steward
- (2) Vice Executive Steward
- (3) Steward of the Meeting
- (4) Clerk of the Course
- (5) Handicapper
- (6) Clerk of the Scale
- (7) Starter
- (8) Placing Judge
- (9) Pari-mutuel Manager
- (10) Veterinary Officer
- (11) Security Manager

2. In addition to the officials of the meeting in the preceding Paragraph, there shall be established a course attendance enhancement official to overview functions and other measures to promote the number of attendance at the racecourse, a public relations officer to handle the business concerning general affairs of the race meeting at the racecourse, an information control official to handle the business concerning provision of information regarding horse racing for persons entering the racecourse and Off Course Facilities, a media and publicity officer to handle the business concerning publicity, patrol judges to handle the business concerning the patrolling of races and facilities officials to handle the business concerning the maintenance of facilities (exclusive of the business under the jurisdiction of the Clerk of the Course ).

**(Executive Steward)**

**Art. 179** The Executive Steward, as head of the race meeting concerned, shall preside over the business concerning the race meeting concerned, and, direct and control other officials of the meeting and settle horse racing disputes.

2. In case it is impossible to hold horse racing due to a disaster, or, other compelling reason, the Executive Steward, can discontinue, or, suspend a race, or, horse racing after receiving the prior approval of the President. In this case, in event if there is not sufficient time for the Executive Steward to receive the approval of the President, the Executive Steward can discontinue, or, suspend a race, or, horse racing without receiving the approval of the President.

**(Vice Executive Steward)**

**Art. 180** The Vice Executive Steward shall assist the Executive Steward and preside over the business concerning the race meeting concerned and shall temporarily conduct the duties of the Executive Steward in event the Executive Steward is absent, or, in event there has been an accident to the Executive Steward.

**(Steward of the Meeting)**

**Art. 181** The Steward of the Meeting shall administer the business concerning confirmation of the order of finish, ruling on objection filed for interference, security measures toward runners, or, jockeys, penalties and control of acts which may endanger the integrity of horse racing.

**(Clerk of the Course)**

**Art. 182** The Clerk of the Course shall administer the business concerning declarations, running course instructions, the management of paddock, track and other facilities necessary to races, and the rescue of humans and horses.

**(Handicapper)**

**Art. 183** The Handicapper shall administer the business concerning the drawing up the handicaps.

**(Clerk of the Scale)**

**Art. 184** The Clerk of the Scale shall administer the business concerning the calculation of weights.

**(Starter)**

**Art. 185** The Starter shall administer the business concerning starting.

**(Placing Judge)**

**Art. 186** The Placing Judge shall administer the business concerning the order of arrival, margins and the measurement of the time required by the horses in a race.

2. The Placing Judge shall have assistants to measure the time required by the horses in a race.

**(Pari-mutuel Manager)**

**Art. 187** The Pari-mutuel Manager shall administer the business concerning the sale of pari-mutuel betting tickets and the distribution of Payoff Money and refunds.

**(Veterinary Officer)**

**Art. 188** The Veterinary Officer shall administer the business concerning body inspection of the runners, collection of test material and sample, control of drugs and medication which influence the racing performance of a horse and the management of horses in the saddling enclosure and the sample collecting enclosure.

**(Security Manager)**

**Art. 189** The Security Manager shall administer the business concerning the control of persons entering the racecourse and the Off Course Facilities and the control of other items necessary to maintain peace inside the racecourse and the Off Course Facilities, and the business concerning service for the persons entering the racecourse and the Off Course Facilities.

## **CHAPTER 14 – HORSERACING ADJUDICATION COUNCIL MEMBERS**

**Art. 190** In case of conducting dispositions mentioned in the following Items, the President shall in advance hear the opinions of the persons prescribed in Article 2-8 of the Enforcement Regulations of the Japan Racing Association Law (1954, Ordinance No.56 by Ministry of Agriculture, Forestry and Fisheries) (hereinafter referred to as Horseracing Adjudication Council Members).

- (1) Owner Registration and its deregistration
- (2) The licenses of the trainer and jockey and their cancellation
- (3) Decisions on objections in regards to dispositions mentioned in the preceding 2 Items and under the provisions of Article 3 of the Ordinance on Enforcement of the Japan Racing Association Law (1954, Government Ordinance No. 258)
- (4) Decisions in Paragraphs 2 and 3 of Article 153

2. Horseracing Adjudication Council Members shall be appointed by the President among the following;

- (1) Academic experts in the legal field
  - (2) Academic experts in the social field
  - (3) Academic experts in the horseracing field
3. The number of Horseracing Adjudication Council Members shall be 7 or less.
  4. The term of appointment of Horseracing Adjudication Council Members is 2 years.
  5. The council of Horseracing Adjudication Council Members (hereinafter referred to as Horseracing Adjudication Council) shall be called by the President.
  6. In addition to those mentioned in the preceding Paragraphs, matters necessary for the Horseracing Adjudication Council shall be decided at the Horseracing Adjudication Council.

### **Supplementary Provisions**

#### **(Date of Enforcement)**

This Rule shall be implemented from January 1, 2011. Provided, however, provisions of Paragraph 5 of Article 125, Paragraph 1 and Paragraph 4 through 6 of Article 158, Paragraph 2 through 4 and Paragraph 6 of Article 161, Article 163, Article 164 (including the Index), and Article 167 shall be applied from the date designated by the President not exceeding three months period from April 1, 2011.

**SEPARATE TABLE (1)** (relating to Art.3)

Racecourse	Location
Sapporo Racecourse	Sapporo-Shi, Hokkaido
Hakodate Racecourse	Hakodate-Shi, Hokkaido
Fukushima Racecourse	Fukushima-Shi, Fukushima-Ken
Niigata Racecourse	Niigata-Shi, Niigata-Ken
Nakayama Racecourse	Funabashi-Shi, Chiba-Ken
Tokyo Racecourse	Fuchu-Shi, Tokyo-To
Chukyo Racecourse	Toyoake-Shi, Aichi-Ken
Kyoto Racecourse	Kyoto-Shi, Kyoto-Fu
Hanshin Racecourse	Takarazuka-Shi, Hyogo-Ken
Kokura Racecourse	Kitakyushu-Shi, Fukuoka-Ken

**SEPARATE TABLE (2)** (relating to Art.132, Art.136)

1 Atropine	35 Barbitol
2 Alprenolol	36 Barbiturates
3 Amphetamine	37 Pipradrol
4 Ipratropium	38 Phenacetine
5 Ethanol	39 Phenylpyrazolone Derivatives
6 Ephedrine	40 Phenylbutazone
7 Oxyethyltheophylline	41 Furazabol
8 Oxypropyltheophylline	42 Fluoxymesterone
9 10-Oxocamphor	43 Brucine
10 Oxprenolol	44 Flufenamicacid
11 Caffeine	45 Procaine
12 Camphor	46 Furosemide
13 Clenbuterol	47 Propranolol
14 Chlorpromazine	48 Promazine
15 Chlorpromazinesulfoxide	49 Pemoline
16 Cocaine	50 Benzodiazepine Derivatives
17 Salbutamol	51 Pentazocine
18 Dihydroxypropyltheophylline	52 Pentetrazol
19 Dibucaine	53 Boldenone
20 Cyproheptadine	54 Methapryrilene
21 Dimorpholamine	55 Methamphetamine
22 Scopolamine	56 Methylephedrine
23 Stanozolol	57 17 $\alpha$ -methyl steroids
24 Strychnine	58 Methylphenidate
25 Theophylline	59 Methenolone
26 Theobromine	60 Methoxyphenamine
27 Tetracaine	61 Metoprolol
28 Terbutaline	62 Mefenamicacid
29 Trans- $\pi$ -Oxocamphor	63 Morphine
30 Trenbolone	64 Lidocaine
31 *Nandrolone	65 Any other substance which contains, or, extricates any one of the above mentioned substances
32 Nikethamide	
33 Nicotine	
34 Noscapine	

(Remarks)

The asterisk [\*] affixed to the names of Prohibited Drugs, or, Medication, indicate the drugs, or, medication as provided for in Paragraph 7 of Article 136.

**SEPARATE TABLE (3)** (relating to Art. 159)

When there are 3 horses to run	Horse No. 1 2 3 Bracket No. 1 2 3
When there are 4 horses to run	Horse No. 1 2 3 4 Bracket No. 1 2 3 4
When there are 5 horses to run	Horse No. 1 2 3 4 5 Bracket No. 1 2 3 4 5
When there are 6 horses to run	Horse No. 1 2 3 4 5 6 Bracket No. 1 2 3 4 5 6
When there are 7 horses to run	Horse No. 1 2 3 4 5 6 7 Bracket No. 1 2 3 4 5 6 7
When there are 8 horses to run	Horse No. 1 2 3 4 5 6 7 8 Bracket No. 1 2 3 4 5 6 7 8
When there are 9 horses to run	Horse No. 1 2 3 4 5 6 7 8 9 Bracket No. 1 2 3 4 5 6 7 8
When there are 10 horses to run	Horse No. 1 2 3 4 5 6 <u>7 8 9 10</u> Bracket No. 1 2 3 4 5 6 7 8
When there are 11 horses to run	Horse No. 1 2 3 4 5 6 <u>7 8 9 10 11</u> Bracket No. 1 2 3 4 5 6 7 8
When there are 12 horses to run	Horse No. 1 2 3 4 <u>5 6 7 8 9 10 11 12</u> Bracket No. 1 2 3 4 5 6 7 8
When there are 13 horses to run	Horse No. 1 2 3 <u>4 5 6 7 8 9 10 11 12 13</u> Bracket No. 1 2 3 4 5 6 7 8
When there are 14 horses to run	Horse No. 1 2 <u>3 4 5 6 7 8 9 10 11 12 13 14</u> Bracket No. 1 2 3 4 5 6 7 8
When there are 15 horses to run	Horse No. 1 <u>2 3 4 5 6 7 8 9 10 11 12 13 14 15</u> Bracket No. 1 2 3 4 5 6 7 8
When there are 16 horses to run	Horse No. <u>1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16</u> Bracket No. 1 2 3 4 5 6 7 8
When there are 17 horses to run	Horse No. <u>1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17</u> Bracket No. 1 2 3 4 5 6 7 8
When there are 18 horses, or, more horses to run	Horse No. Bracket No. Applies correspondingly to the above